

COLUMBUS POINT GLOBAL EQUITY ICAV (the “Fund”)

Application Form For Non-U.S. Persons

Please ensure that you have read the Prospectus and the Key Investor Information Documents (the “KIIDs”) before completing this Application Form. The KIIDs are available at the Manager’s website at www.bridgeconsulting.ie.

To process an initial application for shares of Columbus Point Global Equity ICAV, please:

1. Complete this Application Form in blue or black ink using BLOCK CAPITAL LETTERS.
2. Complete all sections of this Application Form. Sections marked with an asterisk are mandatory
3. Complete the Declaration of Residence
4. Complete the FATCA/CRS Self-Certification Form
5. Provide Anti-Money Laundering (“AML”) documentation as per Appendix IV
6. Review and sign the “SIGNATURE” Section on Page 24 as appropriate
7. Send original signed “wet-ink” Application Form and any other supporting documentation by post/courier to the Administrator’s address below.
8. Complete a Subscription Form confirming the amount you wish to invest in the Fund.

Failure to return any of this documentation will delay the payment of redemption proceeds. Please note:

- Your personal information will be processed by the Fund and its service providers for the purposes set out in the Fund’s data privacy notice (see Appendix I).
- Defined terms used in this Application Form are those used in the Prospectus (unless the context otherwise requires).
- If you have an existing relationship with the Administrator please check the box on page 12 authorising them to leverage the due diligence documentation you may have previously provided them.
- Incomplete Application Forms where compulsory information (indicated by *) has not been provided will be rejected.
- Subscriptions for Shares cannot be processed until valid signed Application and Self-Certification Forms have been received and all necessary AML checks have been completed.
- The Administrator requires a minimum two day turnaround for application completion, once all the documentation is received in good order. Please reach out to the Administrator if you require assistance with placing trades sooner.
- After the application has been processed, the authorised contact will be issued with an Investor ID and a Subscription Form can then be completed. The Investor ID must be specified on all forms to place transactions.
- If subscription monies are received prior to the Administrator sending the applicant an Investor ID, subject to any applicable laws, they may be returned without interest, expenses, or compensation, **by electronic transfer to the bank account from which it was paid.**

This Application Form, together with the AML documentation and the Declaration of Residence outside Ireland / Declaration of Exempt Irish Resident Entities (as appropriate), constitutes your agreement to subscribe for Shares in the Fund.

Should you have any queries in relation to your account setup or wish to obtain your Investor ID for the purposes of submitting a Subscription Form, please contact the Administrator using the details below.

PLEASE SEND THE COMPLETED APPLICATION FORM BY EMAIL TO investorservicesic@sannegroup.com OR BY FAX TO +353 1 816 8741

Please refer to APPENDIX II for “Terms and Conditions of Service for instructions issued to the Administrator via email”

ONCE THE ADMINISTRATOR HAS CONFIRMED THAT ALL IS IN ORDER WITH THE SUBMITTED COMPLETED FORM, PLEASE ONLY THEN SEND THE ORIGINAL COMPLETED APPLICATION FORM IN HARD COPY WITH WET SIGNATURE TO THE ADMINISTRATOR BY POST OR COURIER:

Sanne Group Administration Services (Ireland) Limited
4th Floor, 76 Baggot Street Lower
Dublin DO2 EK81
Ireland

For General Queries:

EMAIL investorservicesic@sannegroup.com, or TELEPHONE +353 1 845 8160

For any general questions or KIID-related requests, you may also contact Columbus Point LLP at the following:

EMAIL info@columbuspoint.com, or TELEPHONE +44 (0)20 3949 8460

NAME(S) FOR REGISTRATION (PLEASE USE BLOCK CAPITALS) *

APPLICANT 1

Full Name of Individual or Entity*

Address 1*

Address 2

Town/Country/Postcode*

Contact Telephone Number*

Contact Email Address*

APPLICANT 2

Full Name of Individual or Entity (if applicable)

Address 1

Address 2

Town/Country/Postcode

Contact Telephone Number

Contact Email Address

Note: The address details provided must be residential address (for individuals) or registered address (for non-individuals).

APPLICANT 3

Full Name of Individual or Entity (if applicable)

Address 1

Address 2

Town/Country/Postcode

Contact Telephone Number

Contact Email Address

APPLICANT 4

Full Name of Individual or Entity (if applicable)

Address 1

Address 2

Town/Country/Postcode

Contact Telephone Number

Contact Email Address

Mailing Address (if different from the address provided under Applicant 1)

Address 1

Address 2

Town/Country/Postcode

By ticking the box opposite, I hereby consent to the provision of contract notes, statements and other reports, by secured or encrypted electronic transmission, which may be issued from time to time by the Administrator in respect of my holdings in the Fund.



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BANKING DETAILS *

THIS SECTION MUST BE COMPLETED BY ALL APPLICANTS*

It is important to note that **third party payments are not permitted** for subscriptions or redemptions.

Failure to provide all the bank account information and documentation requested as part of this application may result in a delay in setting up the account and subscribing to the Fund.

Please confirm the details of the bank account from which and to which payments will be made below.

BANK ACCOUNT FROM WHICH SUBSCRIPTIONS / TO WHICH REDEMPTIONS WILL BE PAID:

Name of Bank:
Address of Bank:
Account Name:
Account Number:
Sort Code:
IBAN:
Swift Code:
Correspondent Bank Name: (if applicable)
Correspondent Bank Swift Code:

A request to change bank account details **MUST BE MADE IN WRITING TO THE ADMINISTRATOR**

NOTES

- a. The Base Currency of the Fund and the designated currency of any Shares will be set out in the Prospectus. Applications for Shares shall be accepted in the designated currency of the relevant Share Class.
- b. Any subscription proceeds paid in currencies other than the designated currency of the relevant Share Class will be converted into that currency at prevailing exchange rates at the cost and risk of the relevant applicant.

AML DUE DILIGENCE

Anti-Money Laundering (AML) Due Diligence Documentary Requirements in accordance with The Criminal Justice (Money Laundering and Terrorist Financing) Acts 2010 – 2018.

1. This Section Comprises of:

- Due Diligence Information Parts I & II– Purpose of the Investment and Investor Type — must be completed by all applicants.
 - Due Diligence Information Part III — Ultimate Beneficial Owner Section - must be completed by all applicants except natural persons.
 - Due Diligence Documentation Part IV — Please provide the due diligence documentation outlined in this section.
-

2. Certification of Documents

“Wet-ink” certified copies of personal identification documents and corporate documentation may be required in some instances. This means that there is an original signature on the document from a suitable person confirming the documents are a ‘true copy of the original’, and they will need to sign and date it, stamping if applicable, printing their name, occupation, address, telephone number and email address. Suitable persons include any of the following: police officer, practicing solicitors, chartered and certified public accountants, embassy consular staff, justices of the peace, commissioner for oaths, financial institutions, notaries public. The person certifying the documents should be independent of the applicant.

3. English Translations

Where due diligence documents are provided in a language other than English, the prospective investor should, at the same time, provide a relevant extract translated by an independent source confirming also the adequacy of the foreign language documents.

DUE DILIGENCE INFORMATION – PURPOSE OF THE INVESTMENT *

Part I

Part I (A) to be completed by all applicants. Part I (B) to be completed by natural persons only. This information is required to meet our AML obligations under the current AML legislation in Ireland and will be used solely for those purposes.

A. What is the anticipated level of investment in the Fund: _____

How frequently do you expect to trade in the Fund (please tick):

Once-Off Subscription

Once a:

Month

Quarter

Year

If more frequently than the options provided please provide an estimate of frequency:

Please indicate the anticipated term of your investment (please tick):

3-5 years

5-10 years

10+ years

B. Natural Persons only:

Source of Wealth (activities that generated total net worth): _____

**Confirmation of Source of Wealth requires the Subscriber to confirm the activities that have generated the total net worth of the Subscriber.*

The Administrator reserves the right to seek further information or documentary evidence in order to satisfactorily meet the requirements of The Criminal Justice (Money Laundering and Terrorist Financing) Acts 2010 – 2018.

DUE DILIGENCE INFORMATION – INVESTOR TYPE *

Part II

Please tick investor type below (please note, investor type indicates the documents required to open account):

- | | |
|--|--|
| <input type="checkbox"/> Private/Unlisted Company | <input type="checkbox"/> Charities |
| <input type="checkbox"/> Partnership | <input type="checkbox"/> Trusts/Foundations |
| <input type="checkbox"/> Listed Company | <input type="checkbox"/> Collective Investment Scheme/Fund |
| <input type="checkbox"/> Subsidiary of a Listed Company | <input type="checkbox"/> School, College or University |
| <input type="checkbox"/> Regulated Credit or Financial Institution | <input type="checkbox"/> Pension Schemes |
| <input type="checkbox"/> Nominee Company | <input type="checkbox"/> Trade Unions |
| <input type="checkbox"/> Government Body or Public Authority | <input type="checkbox"/> Church |
| <input type="checkbox"/> Individual | <input type="checkbox"/> Other — Please specify: _____ |

Occupation/Business Activity: _____

Please indicate if you, or any beneficial owners, are a PEP (Note 2) Yes No

Notes

1. Please refer to the Definition of Beneficial Owner provided below.
2. A Politically Exposed Person (“PEP”) is defined as an individual who is, or has been, entrusted with a prominent public function, including either of the following individuals (but not including any middle ranking or more junior official);
 - (a) a specified official;
 - (b) a member of the administrative, management or supervisory body of a state-owned enterprise.

A “specified official” is further defined as any of the following officials (including any such officials in an institution of the European Communities or an international body):

 - (a) a head of state, head of government, government minister or deputy or assistant government minister;
 - (b) a member of a parliament or similar legislative body;
 - (c) a member of the governing body of a political party;
 - (d) a member of a supreme court, constitutional court or other high level judicial body whose decisions, other than in exceptional circumstances, are not subject to further appeal;
 - (d) a member of a court of auditors or of the board of a central bank;
 - (e) an ambassador, chargé d'affairs or high-ranking officer in the armed forces;
 - (f) a director, deputy director or member of the board of, or person performing the equivalent function in relation to, an international organisation.
3. An “immediate family member” includes any spouse, child, parent, brother or sister of a PEP, any person considered to be equivalent to a spouse under the national or other law of the place where the person or PEP resides or any spouse of a child of the PEP.
4. A “close associate” of a PEP includes any individual who has a joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations with the PEP or any individual who has a sole beneficial ownership of a legal arrangement set up for the actual benefit of the PEP.

If the Application Form is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature along with due diligence in line with legal type.

DUE DILIGENCE INFORMATION – ULTIMATE BENEFICIAL OWNER *

Part III

This Ultimate Beneficial Owner section must be completed by all applicants except natural persons. Non-completion could delay acceptance of subscription.

I/we confirm the following natural persons(s) is/are the beneficial owner(s) of the Applicant as per the Definition of Beneficial ownership appropriate to the legal form, as outlined below:

Please provide the beneficial ownership details below (in block capitals). Use a separate sheet if required.

(1) Name _____ Date of Birth _____
 Address _____
 _____ Nationality _____

(2) Name _____ Date of Birth _____
 Address _____
 _____ Nationality _____

(3) Name _____ Date of Birth _____
 Address _____
 _____ Nationality _____

(4) Name _____ Date of Birth _____
 Address _____
 _____ Nationality _____

I/we acknowledge that further information/documentation may be required in order to verify the details provided above.

Declaration — I/We declare that the information contained in this form and the attached documentation, if any, is true and accurate to the best of my/our knowledge and belief.

Signature 1 _____ Print Name _____ Date _____

Signature 2 _____ Print Name _____ Date _____

DUE DILIGENCE DOCUMENTATION – DEFINITION OF BENEFICIAL OWNER

Body Corporate

Beneficial Owner in relation to body corporate has been defined* to include at least any natural person(s) who ultimately owns or controls the customer and/or the natural person(s) on whose behalf a transaction or activity is being conducted and includes at least:

- (i) the natural person(s) who ultimately owns or controls a legal entity through direct or indirect ownership of a sufficient percentage of the shares or voting rights or ownership interest in that entity, including through bearer shareholdings, or through control via other means, other than a company listed on a regulated market that is subject to disclosure requirements consistent with Union law or subject to equivalent international standards which ensure adequate transparency of ownership information.

A shareholding of 25 % plus one share or an ownership interest of more than 25 % in the customer held by a natural person shall be an indication of direct ownership. A shareholding of 25 % plus one share or an ownership interest of more than 25 % in the customer held by a corporate entity, which is under the control of a natural person(s), or by multiple corporate entities, which are under the control of the same natural person(s), shall be an indication of indirect ownership.

- (ii) if, after having exhausted all possible means and provided there are no grounds for suspicion, no person under point (i) is identified, or if there is any doubt that the person(s) identified are the beneficial owner(s), the natural person(s) who hold the position of senior managing official(s), the obliged entities shall keep records of the actions taken in order to identify the beneficial ownership under point (i) and this point;

*Defined in Article 3(6)(a) of the 4th Anti-Money Laundering Directive (EU) 2015/849 of the European Parliament and of the Council of 20 May 2015.

Partnership

Beneficial owner in relation to a partnership has been defined** as any individual who

- (a) ultimately is entitled to or controls, whether the entitlement or control is direct or indirect, more than a 25 per cent share of the capital or profits of the partnership or more than 25 per cent of the voting rights in the partnership, or
- (b) otherwise controls the partnership.

Trust

Beneficial owner in relation to a trust has been defined** to mean any of the following:

- (a) any individual who is entitled to a vested interest in possession, remainder or reversion, whether or not the interest is defeasible, in the capital of the trust property;
- (b) in the case of a trust other than one that is set up or operates entirely for the benefit of individuals referred to in paragraph (a), the class of individuals in whose main interest the trust is set up or operates;
- (c) any individual who has control over the trust;
- (d) the settlor;
- (e) the trustee;
- (f) the protector

For the purposes of and without prejudice to the generality of the above, an individual who is the beneficial owner of a body corporate that –

- (a) is entitled to a vested interest of the kind referred to in (a) or
- (b) has control over the trust,

is taken to be entitled to the vested interest or to have control over the trust (as the case may be).

In relation to a trust “control” means a power (whether exercisable alone, jointly with another person or with the consent of another person) under the trust instrument concerned or by law to do any of the following:

- (a) dispose of, advance, lend, invest, pay or apply trust property;
- (b) vary the trust;
- (c) add or remove a person as a beneficiary or to or from a class of beneficiaries;

- (d) appoint or remove trustees;
- (e) direct, withhold consent to or veto the exercise of any power referred to in paragraphs (a) to (d).

For the purposes of the definition of “control”, an individual does not have control solely as a result of the power exercisable collectively at common law to vary or extinguish a trust where the beneficiaries under the trust are at least 18 years of age, have full capacity and (taken together) are absolutely entitled to the property to which the trust applies.

Other Legal Entity or Legal Arrangement

Beneficial owner in relation to a Legal Entity or Legal Arrangement other than specified above has been defined** to mean:

- (a) if the individuals who benefit from the entity or arrangement have been determined, any individual who benefits from the property of the entity or arrangement,
- (b) if the individuals who benefit from the entity or arrangement have yet to be determined, the class of such individuals in whose main interest the entity or arrangement is set up or operates, and
- (c) any individual who exercised control over the property of the entity or arrangement,
- (d) any person holding a position, in relation to the legal entity or legal arrangement that is similar or equivalent to the position specified in paragraphs (d) to (f) in relation to a trust.

** Defined in the Criminal Justice Money Laundering and Terrorist Financing Act 2010 (as amended and in particular by the Criminal Justice (Money Laundering and Terrorist Financing) (Amendment) Act 2018).

DUE DILIGENCE DOCUMENTATION – REQUIREMENTS

Part IV

The Administrator

- has adopted a risk based approach to counter money laundering and terrorist financing; in order to manage and mitigate the risk of money laundering and terrorist financing,
- is required to identify and verify the identity of each applicant, as well as (where applicable) the “beneficial owner” of each applicant and will conduct ongoing monitoring.

The Fund and the Administrator

- reserve the right to request such information as is necessary to verify the identity of an applicant and the beneficial owner (where applicable). In the event of delay or failure by the applicant to produce any information required for verification purposes, the Administrator may refuse to accept the application and the subscription monies relating thereto.
- will be held harmless by an applicant against any loss arising as a result of a failure to process a subscription or redemption request if such information as has been requested by the Administrator has not been provided by the applicant.

If you fall under one of the investor categories outlined in Appendix IV, please provide documents evidencing the requested information in addition to an authorised signature list with names and sample signatures of the parties duly authorised to sign on behalf of the entity. Please contact the Administrator immediately if you do not fall under one of the investor categories outlined.

DUE DILIGENCE DOCUMENTATION – LEVERAGE ACROSS INVESTMENTS

I hereby authorise the Administrator to leverage the due diligence documentation provided for the purposes of compliance with applicable regulatory requirements across all investments which I currently hold/may hold in the future across all funds which are administered by the Administrator.

Yes No

DECLARATIONS

Please confirm your attestation of the following declarations by ticking the appropriate response below. By ticking the box to complete this section you are declaring that the below declarations are true and accurate to the best of your knowledge and belief.

I (the “**Applicant**”) hereby declare the following:

OR

We (the “**Applicant**”) hereby declare the following:

1. I acknowledge that the Fund is registered as an Irish Collective Asset-management Vehicle with limited liability and is authorised by the Central Bank of Ireland as a UCITS pursuant to the Regulations as defined in the Prospectus.
2. I acknowledge that I am not subscribing pursuant hereto for Shares as a result of or subsequent to (i) any advertisement, article, notice or other communications published in any newspaper, magazine or similar media (including any internet site that is not password protected) or broadcast over television or radio, or (ii) any seminar or meeting whose attendees, including me, had been invited as a result of, subsequent to or pursuant to any of the foregoing.
3. I hereby acknowledge as part of this application that I have been offered the Prospectus and where applicable the most recent annual or semi-annual reports and accounts for the Fund and furthermore that this application is made on the terms thereof and subject to the provisions of the Prospectus and the Instrument of Incorporation and hereby confirm and declare that this application is based solely on the information contained in such documentation and the KIID(s) as referred to below and is made pursuant to the terms of this Application Form. I hereby agree that the Fund shall have the right to make variations to the offering terms in the Prospectus without having to obtain the consent of the Shareholders, provided, however, that certain changes, as described in the Prospectus, require either the approval of the Shareholders of the Fund or advance notice to the Shareholders of the Fund.
4. I confirm that I have received and read the information contained in this Application Form and confirm that a copy of the relevant KIID or KIIDs has/have been supplied to me. I confirm that I have read the relevant KIID or KIIDs and that any future investments to any other Share Class of the Fund can also be transacted based on this confirmation. I hereby acknowledge and agree that the updated relevant KIID or KIIDs for each Share Class is/are available at the Manager’s website at bridgeconsulting.ie. and that I will read and review the most up-to-date version of the relevant KIID or KIIDs prior to making any subsequent application for Shares in the Fund. I request and authorise the Manager and/or its delegates to act in accordance with my instructions.
5. I hereby represent that I have regular access to the internet. I acknowledge that I have been offered the choice of receiving the Prospectus and annual and semi-annual reports on paper or in electronic form by means of email and for the purposes of the Electronic Commerce Act 2000. I hereby specifically consent to receiving the Prospectus and annual and semi-annual reports in electronic form by way of email to the email address, previously identified to the Fund or its delegate which I acknowledge constitutes effective receipt by me of the relevant document. I hereby acknowledge that I have received the Prospectus and annual and semi-annual reports where applicable by email. I acknowledge that I am not obliged to accept electronic communication and may at any time choose to revoke my agreement to receive communications by fax or electronically by notifying the Fund in writing at the above address provided that my agreement to receive communications by fax or electronically shall remain in full force and effect pending receipt by the Fund of written notice of such revocation.
6. I have such knowledge and experience in business and financial matters or have obtained advice from a professional adviser such that I am capable of evaluating the merits, and the risks, of an investment by me in the Fund. I have obtained, in my judgment, sufficient information from the Fund or its authorised representatives to evaluate the merits and risks of such investment. I have evaluated the risks of investing in the Fund, understand there are substantial risks

of loss incidental to the purchase of the Shares including, without limitation, **the risk of loss of the entire amount invested**, and have determined that the Shares are a suitable investment for me. The risk factors relating to an investment are summarised in the section entitled “Risk Factors” in the Prospectus. Historical performance is no indicator of future performance.

7. I understand that the tax disclosure set forth in the Prospectus thereto is of a general nature and may not cover the jurisdiction in which I am subject to taxation and that the tax consequences of my purchase of Shares depend on my individual circumstances. I acknowledge that it is possible that taxes or costs other than those described in the Prospectus may apply in relation to investments made with the Fund, depending on the personal circumstances of investors. I have been advised to seek independent advice of any applicable costs or taxes relevant to me.
8. I am acquiring the Shares for my own account, for investment purposes only and not with a view toward distributing or reselling the Shares in whole or in part.
9. I acknowledge and agree that it is my responsibility to inform myself of and to observe all applicable laws and regulations of any jurisdictions relevant to this offering of Shares. I have informed myself of the legal and regulatory requirements and tax consequences within the countries of my citizenship, residence or domicile and place of business with respect to the acquisition, holding or disposal of the Shares, and any foreign exchange restrictions that may be relevant thereto, including, without limitation, obtaining any required governmental or other consents.
10. I acknowledge that as my contract with the Fund relates to the provision by the Fund of financial services whose price depends on fluctuations in the financial market outside the Fund’s control, I have no right under the Return of Values (Investment Undertakings) Regulations 2013 to cancel the contract or investment made. However, I may redeem my investment in accordance with the procedures specified in the Prospectus at the redemption price calculated in accordance with the procedures specified in the Prospectus.
11. I confirm I will make arrangements for payment to be made by Electronic Transfer in accordance with the payment details set out in the Subscription Form and acknowledge that the Directors reserve the right to reject the whole or in part any aspect of an application for Shares for any reason or no reason at any time prior to acceptance thereof. I acknowledge that if all or any portion of my investment amount is rejected for any reason, the portion of the amount not accepted will be returned to me, without interest or deduction, via bank transfer to the account of origination, and this Application Form shall have no force or effect with respect to such returned amount.
12. I acknowledge the right of the Fund at any time to require the compulsory redemption of Shares in the circumstances provided for in the Prospectus.
13. I hereby represent and declare that I: (i) am not a U.S. Person (as defined in Regulation S promulgated under the Securities Act, which definition is set forth in Appendix III, I having reviewed such definition with my counsel or having determined, based on my knowledge and experience, that such consultation with counsel is not required); (ii) have not been solicited to purchase and have not and will not acquire Shares while present in the United States; (iii) am not applying as a nominee of a U.S. Person and I am not acting on behalf of nor do I intend to transfer any Shares to any U.S. Person(s); (iv) will not transfer any Shares or any interest therein to a U.S. Person and will not transfer any Shares within the United States; (v) at the time that the order to buy the Shares was originated, was outside of the United States; (vi) will not engage in any hedging transactions with regard to the Shares unless in compliance with the Securities Act; and (vii) will immediately notify the Fund if I should at any time become a U.S. Person (as defined in Regulation S promulgated under the Securities Act). I acknowledge and understand that in the event that I become a U.S. Person all of the Shares held by me may be immediately and compulsorily redeemed. I confirm that I have the authority to make this investment whether the investment is my own or is made on behalf of another person or institution. I hereby represent and declare that I am fully informed as to: (i) the legal requirements within my country for the purchase of the Shares and am permitted to purchase the Shares under the laws and regulations of my home country in the manner in which the Shares have been offered and sold to me; (ii) any foreign exchange restrictions applicable to me; and (iii) any relevant tax considerations relating to me arising out of my purchase and ownership of Shares.
14. I will not sell or otherwise transfer the Shares in the United States to or for the account of a U.S. person without registration under the Securities Act, or an exemption therefrom. I understand and agree that I must bear the economic risk of my investment for an indefinite period of time (subject to certain limited transfer and redemption provisions described in the Prospectus) because, among other reasons, the Shares have not been registered under the Securities Act or under the securities laws of any other jurisdiction and, therefore, cannot be resold, pledged, assigned or otherwise disposed of unless they are so registered or an exemption from registration is available. Even if such an exemption is

available, the Shares will be subject to substantial restrictions on transfer. I understand that the Fund is under no obligation to register the Shares on my behalf or to assist me in complying with any exemption from registration under the Securities Act, state securities laws or the securities laws of any other jurisdiction. I also understand that sales or transfers of the Shares are further restricted by other applicable securities laws.

15. I acknowledge that the Fund will not be registered as an investment company under the U.S. Investment Company Act of 1940, as amended (the "Investment Company Act"). I represent and warrant that we are not a registered investment company under the Investment Company Act, are not required to register as an investment company under the Investment Company Act and are not a business development company as defined in the U.S. Investment Advisers Act of 1940, as amended (the "Investment Advisers Act"). Except as specifically disclosed by us, we have not been formed, nor are we operated, for the purpose of purchasing Shares. If we are an entity, including without limitation a private investment company or a non-U.S. investment company exempt from registration under the Investment Company Act pursuant to Section 3(c)(1), 3(c)(7) or 7(d) thereunder, we represent and warrant that we are not structured or operated for the purpose or as a means of circumventing the provisions of the Investment Company Act.
16. I hereby agree to indemnify and hold harmless the Fund, Depositary, Administrator, Manager, Investment Manager and the other Shareholders and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly, from any misrepresentation by me or breach by me of any warranty, condition, covenant or agreement set forth herein or in any document delivered by me to the Fund. The Fund, the Depositary, the Manager, the Investment Manager and/or the Administrator will not be responsible or liable for the authenticity of instructions received from me or any authorised person and may rely upon any instruction from any such person representing himself to be a duly authorised person reasonably believed to be genuine. I also agree to indemnify and hold harmless the Fund, the Depositary, the Administrator, the Manager, the Investment Manager and the other Shareholders and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes, interest and penalties) which may result from my holdings or beneficial ownership of Shares in the Fund which brings the Fund into conflict with any requirement of any applicable jurisdiction or is in contravention of restrictions imposed by the Fund which could in the opinion of the Directors cause the Fund to incur any liability to taxation, or to suffer any pecuniary disadvantage which any or all of them might not otherwise have incurred or sustained or otherwise in circumstances which the Directors believe might be prejudicial to the interests of the Shareholders, or to breach any law or regulation of any local regulatory or taxation authority.
17. I acknowledge that the Fund intends to take such steps as may be required to satisfy any obligations imposed by (i) the Foreign Account Tax Compliance Act ("FATCA") or (ii) any provisions imposed under Irish law arising from the inter-governmental agreement between the Government of the United States of America and the Government of Ireland ("IGA") so as to ensure compliance or deemed compliance (as the case may be) with FATCA or the IGA.
18. Furthermore, I hereby acknowledge that the Fund intends to also take such steps as may be required to satisfy any obligations imposed by (i) the Standard for Automatic Exchange of Financial Account Information in Tax Matters ("the Standard") and, specifically, the Common Reporting Standard ("CRS") therein or (ii) any provisions imposed under Irish law arising from the Standard or any international law implementing the Standard (to include the Multilateral Competent Authority Agreement on Automatic Exchange of Financial Account Information or the EU Council Directive 2011/16/EU (as amended by Council Directive 2014/107/EU)) so as to ensure compliance or deemed compliance (as the case may be) with the Standard and the CRS therein.
19. In order for the Fund to comply with the above FATCA and CRS obligations, I agree to provide to the Fund, the Manager, the Investment Manager and/or the Administrator the necessary declarations, confirmations and/or classifications at such times as each of them may request and furthermore provide any supporting certificates or documents as each of them may reasonably require in connection with this investment by reason of FATCA or CRS, as described above, or otherwise. Should any information furnished to any of them become inaccurate or incomplete in any way, I hereby agree to notify the Fund, the Manager, the Investment Manager and/or the Administrator immediately of any such change and further agree to immediately take such action as the Fund, the Manager, the Investment Manager and/or the Administrator may direct, including where appropriate, redemption of my Shares in respect of which such confirmations have become incomplete or inaccurate where requested to do so by the Fund, the Manager, the Investment Manager and/or the Administrator (as applicable). If relevant, I agree to notify the Administrator of any change to my tax residency status. I hereby also agree to indemnify and keep indemnified the Fund, the Manager, the Investment Manager and the Administrator against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly as a result of a failure to meet my obligations pursuant to this section or failure to provide such information which has been requested by the Fund, the Manager, the Investment Manager and/or the Administrator and has not been provided by me, and from any

misrepresentation or breach of any warranty, condition, covenant or agreement set forth herein or in any document delivered by me to the Fund, the Manager, the Investment Manager and/or the Administrator. I further acknowledge that a failure to comply with the foregoing obligations or failure to provide the necessary information required may result in the compulsory redemption of my entire holding in the Fund, and that the Fund and/or the Depositary are authorised to hold back from redemption payments or other distributions to me such amount as is sufficient to discharge any such liability and I shall indemnify and keep indemnified the Fund and/or the Depositary against any loss suffered by them or other Shareholders in the Fund in connection with any obligation or liability to so deduct, withhold or account.

20. I confirm that I have accurately and correctly completed the relevant self-certification form attached below (as applicable). I further confirm that, if any information included in the self-certification form subsequently becomes inaccurate or incorrect, we will notify the Fund, the Manager, the Investment Manager and/or the Administrator immediately of any such change and agree to immediately take such action as the Fund, the Manager, the Investment Manager and/or the Administrator may direct, including where appropriate, redemption of my Shares.
21. I shall supply the Fund with executed copies of the applicable form W-8BEN, W-8BEN-E, W-8IMY, W-8ECI, W-8EXP, or W-9 as applicable (the forms can be downloaded from www.irs.gov).
22. We represent and warrant the completeness and accuracy of any information (as at the date of submission) and authorise the Fund and the Administrator to act upon such information in good faith, including, but not limited to, disclosing or submitting such information to the Irish tax authorities. We represent and warrant that we have any requisite consent to disclose any information to you. The Fund shall have no obligation to carry out any investigation with respect to the truth, accuracy or completeness of any information provided by me and I will, on demand, hold the Fund harmless from any liability resulting from my failure to provide complete and accurate information.
23. I hereby acknowledge that if I fail to provide any information on a timely basis, I may be subject to negative consequences, including without limitation, withholding tax on my share of “withholdable payments” (as defined for purposes of FATCA) received by the Fund.
24. I hereby acknowledge that if I fail to provide any information and such failure results in the Fund being unable to comply with the IGA, the Fund may exercise its right to completely redeem an applicant (at any time upon any or no notice). I further acknowledge and agree to indemnify the Fund and its other investors for any losses resulting from our failure to meet its obligations under this Section 3, including any U.S. withholding tax imposed on the Fund.
25. I agree to advise the Fund, the Manager and the Investment Manager in writing promptly if any of the representations and warranties ceases to be true and correct at any time.
26. If we are a collective investment vehicle, we are in compliance with all federal regulatory requirements, including the registration rules of the U.S. Commodity Futures Trading Commission (the “CFTC”) and the U.S. National Futures Association (the “NFA”).
27. I represent and warrant that, to the best of my knowledge, the money that I seek to invest is not derived from any criminal enterprise or activity.
28. I understand and agree that the Fund, the Manager and the Investment Manager prohibit the investment of funds by any persons or entities that are acting, directly or indirectly, for a senior foreign political figure¹, any member of a foreign political figure’s immediate family² or any close associate³ of a senior foreign political figure as such terms are defined in the footnotes below unless the Fund and the Administrator, after conducting further due diligence, determine that such investment shall be permitted.

¹ A “senior foreign political figure” is defined as a senior official in the executive, legislative, administrative, military or judicial branches of a non-U.S. government (whether elected or not), a senior official of a major non-U.S. political party, or a senior executive of a non-U.S. government-owned corporation. In addition, a “senior foreign political figure” includes any corporation, business or other entity that has been formed by, or for the benefit of, a senior foreign political figure.

² “Immediate family” of a senior foreign political figure typically includes the figure’s parents, siblings, spouse, children and in-laws.

³ A “close associate” of a senior foreign political figure is a person who is widely and publicly known to maintain an unusually close relationship with the senior foreign political figure, and includes a person who is in a position to conduct substantial U.S. and non-U.S. financial transactions on behalf of the senior foreign political figure.

29. I confirm that no “beneficial owner”⁴ of the Shares in the Fund is subject to any of the “Bad Actor” disqualifications (each, a “Disqualification Event”) described in Rule 506(d)(1) under the Securities Act, except for a Disqualification Event contemplated by Rule 506(d)(2) of the Securities Act, a reasonably detailed description of which has been furnished by me in writing to the Fund. I will, subsequent to the date hereof, notify the Fund of (i) any Disqualification Event relating to me not previously disclosed and (ii) any event that would, with the passage of time, become a Disqualification Event relating to me.
30. I represent and warrant that, to the best of my knowledge, I and, if we are an organization, each owner holding 10% or more of our equity, each senior management official of ours (director or executive officer or similar official), each affiliate of ours, and if we are privately owned, each person with any beneficial equity interest in us is not named on or blocked by any of the following lists (the “Prohibited Lists”):
- (i) the Office of Foreign Assets Control (“OFAC”) SDN and Blocked Persons List (found at <http://www.treasury.gov/ofac/downloads/t11sdn.pdf>) and the list of OFAC Sanctions Programs (found at <http://www.treasury.gov/resource-center/sanctions/Programs/>), which list foreign nations, organizations and individuals subject to economic and trade sanctions, based on U.S. foreign policy and national security goals; and
 - (ii) Executive Order 13224, which sets forth a list of individuals and groups with whom U.S. persons are prohibited from doing business because such persons have been identified as terrorists or persons who support terrorism (found at <http://www.treasury.gov/resource-center/sanctions/Documents/13224.pdf>).
31. Except as otherwise disclosed to the Fund in writing, I represent and warrant that - **YOU MUST TICK ONE, AND ONLY ONE, BOX**

Investing for Own Account as an investor:

I am subscribing for Shares in the Fund solely for my own account, risk and beneficial or economic interest, as an investor, and I (A) am not acting as an agent, trustee or representative or in a similar agency capacity (as a “Nominee”) for any other individual or entity, (B) am not an investment fund or trust with investors of its own (an “Intermediary” – which does not include an employee benefit plan or pension plan of a U.S. federal, state or local government or a company publicly traded in the United States), and (C) have no present intention of selling or assigning Shares in the Fund.

Investing for Own Account as an Intermediary:

- (i) We are subscribing for Shares in the Fund for our own account, risk and beneficial or economic interest, as an investment fund or investment trust, with investors of our own, and are not otherwise acting as an agent, trustee or representative or in a similar agency capacity for any other individual or entity.
- (ii) We (A) have established an anti-money laundering program and are in compliance with anti-money laundering laws and regulations applicable to us and such anti-money laundering program includes policies, procedures and controls designed to detect and prevent money laundering which the we believe effectively prevent our use or use of our funds, personnel or facilities for money laundering purposes; (B) agree that upon the request of the Directors, we shall provide a copy of our AML Policies to the Directors; and (C) represent that we are in compliance with our AML Policies and that our AML Policies have been approved by counsel or internal compliance personnel reasonably informed of anti-money laundering policies and their implementation and has not received a deficiency letter, negative report or any similar determination regarding our AML Policies from independent accountants, internal auditors or some other person responsible for reviewing compliance with its AML Policies.
- (iii) We routinely establish and verify the identities of our investors and check their names against the Prohibited Lists and have done this with respect to all our investors. We routinely conduct due diligence investigations of our investors and, to our knowledge and belief, no such investor presents unusual money laundering concerns.

⁴ For purposes of this paragraph, the “beneficial owner” of a security includes any person who has or shares: (i) voting power which includes the power to vote, or to direct the voting of, such security; and/or (ii) investment power which includes the power to dispose, or to direct the disposition of, such security.

Investing as a Nominee:

- (i) I am are subscribing for Shares in the Fund as a record owner in its capacity as an agent, trustee, nominee or representative for, or will enter into a swap, structured note or other derivative instruments, the return from which is based in whole or in part on the return of the Fund (a “Swap”) with, one or more principals (each, a “Beneficial Owner”).
- (ii) I understand and acknowledge that the representations, warranties and agreements made herein are made by me (A) with respect to me and (B) with respect to each Beneficial Owner. I further represent and warrant that I have all requisite power and authority to act on behalf of each Beneficial Owner to execute and perform all obligations under the Application Form. I also agree to indemnify the Fund, the Manager, the Investment Manager and the Administrator and each of their affiliates and their respective directors, members, partners, officers, employees and agents for any and all costs, fees and expenses (including legal fees and disbursements) in connection with any damages resulting from my or any Beneficial Owner’s misrepresentation or misstatement contained herein, or the assertion of my lack of proper authorization from any Beneficial Owner to enter into this Application Form or perform the obligations hereof. Nothing herein constitutes an agreement or statement by the Fund as to the legality of a Swap or the suitability of a Swap for any Beneficial Owner.
- (iii) I shall provide my client(s) with the KIID issued in respect of the Fund and/or Share Class (as the case may be) and I shall ensure that I do so in compliance with Commission Regulation (EU) No. 583/2010, as amended from time to time or by any supplemental legislation and in compliance with the applicable legislation in any jurisdiction in carrying out this activity. I shall maintain records of my provision of the KIID to my clients and shall furnish such records to the Fund, or its delegates, upon request.
- (iv) I (A) have performed an appropriate investigation to determine and verify the identity of each Beneficial Owner, and will provide information on and evidence of the identity of any Beneficial Owner to the Fund upon request, (B) reasonably believe with respect to myself and each Beneficial Owner that entering into a financial relationship with the Fund will not cause the Fund to contravene any federal, state or foreign laws and regulations relating to money laundering and (C) with respect to each Beneficial Owner entering into a Swap: (1) such Beneficial Owner is authorised under its constituent documents and applicable law to enter into the Swap and also would be so authorised to invest directly in the Fund; (2) such Beneficial Owner has received and reviewed a copy of the Prospectus; and (3) such Beneficial Owner acknowledges that the Fund and its affiliates are not responsible for the legality, suitability or tax consequences of the Swap and that I am not an agent of the Fund.

32. I represent and warrant that I have conducted thorough due diligence with respect to all of our beneficial owners, have established the identities of all beneficial owners and the source of each of the beneficial owner’s funds, and will retain evidence of any such identities, any such source of funds and any such due diligence. I acknowledge that I am advised that, by law, the Fund may be obligated to “freeze” our account, either by prohibiting additional investments from us, declining any redemption requests and/or segregating the assets in the account in compliance with governmental regulations, and the Fund may also be required to report such action and to disclose our identity to OFAC or other applicable authority. I further acknowledge that the Directors may, by written notice to us, suspend our redemption rights if the Directors reasonably deem it necessary to do so to comply with anti-money laundering regulations applicable to the Fund, the Manager, the Investment Manager, any of their affiliates, or any of the Fund’s service providers. I reasonably believe that entering into a financial relationship with the Fund will not cause the Fund to contravene any federal, state or foreign laws and regulations relating to money laundering.

33. I understand that:

- (i) each of the Fund, the Manager and the Investment Manager has a limited operating history; and
- (ii) no governmental agency, domestic or foreign, has passed upon the Shares or made any findings or determinations as to the fairness of this investment.

34. If we are a non-U.S. banking institution (a “Non-U.S. Bank”) or if we receive deposits from, make payments on behalf of, or handle other financial transactions related to a Non-U.S. Bank, we represent and warrant to the Fund that:

- (i) the Non-U.S. Bank has a fixed address, other than solely an electronic address, in a country in which the Non-U.S. Bank is authorised to conduct banking activities;
 - (ii) the Non-U.S. Bank employs one or more individuals on a full-time basis;
 - (iii) the Non-U.S. Bank maintains operating records related to its banking activities;
 - (iv) the Non-U.S. Bank is subject to inspection by the banking authority that licensed the Non-U.S. Bank to conduct banking activities; and
 - (v) the Non-U.S. Bank does not provide banking services to any other Non-U.S. Bank that does not have a physical presence in any country and that is not a regulated affiliate.
35. I acknowledge that due to anti-money laundering operating within their respective jurisdictions the Fund or the Administrator (as the case may be) may require further identification from me, as described in the Prospectus, at any time in relation to this application to ensure that I am not named on one of the Prohibited Lists, to ascertain the source of my funds, and to obtain other information about me. I understand and acknowledge, further, that the Fund or its agents or service providers from time to time may request information from me regarding my identity, sources of funds or other matters related to the Fund's anti-money laundering responsibilities and may seek to monitor communications, investments and redemptions, and other payments involving me and to report any suspicious activity to appropriate authorities. I understand and acknowledge, further, that the anti-money laundering regulations of the United States and other countries are developing and changing continually and the Fund or one of its agents or service providers may be required to implement additional anti-money laundering measures from time to time. I agree to provide to the Fund or the Administrator at such times as each of them may request such declarations, certificates or documents as each of them may reasonably require in connection with this investment. Should any information furnished to any of them become inaccurate or incomplete in any way, I hereby agree to notify the Fund or the Administrator immediately of any such change and further agree to request the redemption of Shares in respect of which such confirmations have become incomplete or inaccurate where requested to do so by the Fund. I agree to notify the Fund of any change to my tax residency status. The Fund, the Manager, the Investment Manager and the Administrator shall be held harmless and indemnified against any loss arising as a result of a failure to process or a delay in processing the application for Shares or redemption request if such information has been required by the parties referred to and has not been provided by me. I also warrant and declare that the monies being invested pursuant to the application do not represent directly or indirectly the proceeds of any criminal activity and that the investment is not designed to conceal such proceeds so as to avoid prosecution for an offence or otherwise.

European Union (Anti-Money Laundering: Beneficial Ownership of Corporate Entities) Regulations 2019

I agree to comply with such beneficial ownership notices issued to me by or on behalf of the Fund seeking beneficial ownership information or any changes thereto in accordance with the European Union (Anti-Money Laundering: Beneficial Ownership of Corporate Entities) Regulations 2019 (the "BOR") for the purpose of the establishment and maintenance of the Fund's beneficial ownership register within the timeframe prescribed in the beneficial ownership notice.

I understand that where I am (or ought to reasonably know that I am) a "beneficial owner" of the Fund for the purpose of this paragraph (as that term is defined in the BOR), I must notify the Fund or the Administrator, as appropriate, in writing (within the relevant time periods set out in the BOR), where the Fund's beneficial ownership register does not contain my details below or if there are changes to the information recorded on that register (including where I have ceased to be a beneficial owner) and where I have not received a beneficial ownership notice from or on behalf of the Fund.

I understand that it is an offence under the BOR where I fail to comply with the terms of any such beneficial ownership notice or where I provide materially false information in response to such a notice. I also understand that it is an offence where I am (or ought to reasonably know that I am) a beneficial owner of the Fund and I fail to comply with my obligations under the BOR (to provide relevant information or changes to information recorded on the Fund's beneficial ownership register) where I am not already listed on the Fund's beneficial ownership register and have not received any notice from or on behalf of the Fund seeking relevant information or in purporting to comply, provide materially false information

For Intermediaries/Nominees only –

Where I acquire Shares in the Fund on behalf of an underlying investor rather than transacting on my own behalf and I receive a beneficial ownership notice from or on behalf of the Fund seeking beneficial ownership information or any changes thereto, I agree to provide the relevant information in respect of natural persons on whose behalf I hold Shares in the Fund.

36. I will (i) keep confidential all information I may receive pertaining to the Fund, the Manager, the Investment Manager and their affairs, except as required by law, and (ii) use such information only for purposes reasonably related to my investment in the Fund. I have not reproduced, duplicated or delivered the Prospectus or this Application Form to any other person, except to my professional advisors or as instructed by the Fund.

37. **Benefit Plan Investor Status:**

In order for the Fund to confirm the eligibility of a Benefit Plan Investor to invest in the Fund and monitor the Fund's Benefit Plan Investor participation, please review the following definition of a "Benefit Plan Investor" and make the appropriate representations by checking all applicable boxes.

A "Benefit Plan Investor" is (i) any employee benefit plan subject to the fiduciary responsibility provisions of Title I of the Employee Retirement Income Security Act of 1974, as amended ("ERISA"), (ii) any individual retirement plan or account subject to the prohibited transaction rules of Section 4975 of the Internal Revenue Code of 1986, as amended (the "Code") or (iii) any entity whose underlying assets include "plan assets" (as defined by ERISA and the regulations thereunder) by reason of a plan's investment in the entity.

A "Controlled Person" is (i) any person (including an entity) with investment authority or responsibility over the Fund's assets or (ii) any person controlling, controlled by or under common control with a person described in (i). A Controlled Person's non-Benefit Plan Investor investment is excluded from the Fund's 25% ERISA calculation.

I represent and warrant that we are (please check all applicable boxes):

A. not a Benefit Plan Investor.

OR

B. not a Benefit Plan Investor and we are a "governmental plan" as defined by Section 3(32) of ERISA or a non-electing "church plan" within the meaning of Section 3(33) of ERISA.

OR

C. not a Benefit Plan Investor and I am a Controlled Person.

OR

D. a Benefit Plan Investor that is:

1. subject to Part 4 of Title I of ERISA;

2. subject to Section 4975 of the Code (and has not checked D1; e.g., an IRA);

3. an entity whose underlying assets include "plan assets". I also represent that the percentage of our "plan assets" compared to the value of our total assets or included in our general account is not more than:

10%*	20%*	30%	40%
50%	60%	70%	80%
90%	100%;		

(*applicable to entities with multiple classes, one of which exceeds the 25% threshold for Benefit Plan Investors and to U.S. insurance company general accounts).

4. a group trust, a bank common or collective trust or an insurance company separate account.

I agree to notify the Manager not less than 30 days prior to this representation (or any part thereof) no longer being true or becoming likely to be untrue and (ii) to provide the Manager upon request such information as may be required to confirm and/or refine the representations and warranties provided herein.

38. I am not relying on the Fund, the Manager, the Investment Manager or any other person or entity with respect to the legal, tax, ERISA (as defined above) and other economic considerations involved in this investment other than my own advisors. Without limiting the generality of the foregoing, I understand that the discussion of the tax consequences arising from an investment in the Fund set forth in the “Taxation in the United States; ERISA” section of the Prospectus is general in nature, and the tax consequences to me of an investment in the Fund may depend on my circumstances. None of the Fund, the Directors, the Manager, the Investment Manager or any of their affiliates, service providers or consultants, assumes any responsibility for the tax consequences to me of any investment in the Fund. I am relying solely upon the advice of my own tax and legal advisors and not upon the general discussion of such matters set forth in the “Taxation in the United States; ERISA” section of the Prospectus.
39. I acknowledge that, subject to the Manager and the Investment Manager conducting themselves in accordance with its obligations (if any) under ERISA and Section 4975 of the Code, if we are a Benefit Plan Investor (as such term is defined above), our acquisition and holding of Shares will not cause any prohibited transactions within the meaning of Section 406 of ERISA or Section 4975 of the Code or will qualify for exemptive relief under a regulatory, class or individual prohibited transaction exemption which is applicable to the Benefit Plan Investor. The Plan Fiduciary (as defined below) represents that we are purchasing the Shares at their fair market value.
40. I acknowledge that it is expected that no purchase of Shares by or proposed transfer of Shares to a person that has represented that it is a Benefit Plan Investor shall be permitted to the extent that such purchase or transfer would result in persons that have represented that they are Benefit Plan Investors owning 25% or more of any class of Shares in the Fund immediately after such purchase or proposed transfer (excluding any interests of the Manager, the Investment Manager and their “affiliates,” within the meaning of the U.S. Department of Labor regulations promulgated at 29 C.F.R. §2510.3-101(f)(3)). In addition, we acknowledge that the Directors may require a Benefit Plan Investor to redeem all or a portion of its Shares to the extent necessary to comply with the 25% limitation.
41. I acknowledge and agree that (i) the Manager and the Investment Manager do not undertake to provide impartial investment advice, or give advice in any fiduciary capacity, with respect to a prospective Benefit Plan Investor’s decision to invest in the Fund, and such decision must be made by each prospective Benefit Plan Investor on an arm’s length basis; (ii) neither the Manager, the Investment Manager nor any of their affiliates has provided any advice or recommendation to me or any of my advisers in connection with such investment decision by me; and (iii) it is intended, as discussed above, that the Fund will not hold “plan assets” of any Benefit Plan Investor.
42. I understand that if we are a Benefit Plan Investor, we and the plan fiduciary responsible for the decision to invest in the Fund (the “Plan Fiduciary”) represent and warrant to the Fund that:
- (i) (the Plan Fiduciary is either (A) a “named fiduciary” with respect to the Benefit Plan Investor within the meaning of Section 402(a) of ERISA, (B) a fiduciary designated by such named fiduciary to make investment-related decisions for the Benefit Plan Investor, or (C) an IRA owner;
 - (ii) the Benefit Plan Investor is not a participant-directed defined contribution plan;
 - (iii) the Benefit Plan Investor is not a Benefit Plan Investor governed by ERISA that is both voluntary and contributory;
 - (iv) the Benefit Plan Investor’s investment in the Fund has been duly authorized by all necessary parties, does not violate and is not otherwise inconsistent with the terms of any legal document constituting the Benefit Plan Investor or any trust agreement thereunder;
 - (v) the Plan Fiduciary is authorised to make, and is responsible for, the decision to invest in the Fund, has considered a number of factors with respect to the Benefit Plan Investor’s investment in the Fund and, if the Benefit Plan Investor is subject to ERISA, has determined that, in view of such considerations, the purchase of Shares is consistent with the Plan Fiduciary’s responsibilities under ERISA. Such factors include, but are not limited to:
 - (A) the role such investment or investment course of action plays in the Benefit Plan Investor’s

investment portfolio;

- (B) whether the investment or investment course of action is reasonably designed as part of the Benefit Plan Investor's investment portfolio to further the purposes of the Benefit Plan Investor, taking into account both the risk of loss and the opportunity for gain that could result therefrom;
 - (C) the overall diversification of the Benefit Plan Investor's investment portfolio;
 - (D) the anticipated cash flow requirements of the Benefit Plan Investor;
 - (E) the funding objectives of the Benefit Plan Investor;
 - (F) whether an investment in the Fund is permissible under the documents governing the Benefit Plan Investor and the Plan Fiduciary;
 - (G) whether the applicable management fee is reasonable; and
 - (H) the risks associated with an investment in the Fund and the fact that I will be permitted to redeem all or a portion of my Shares only on the terms set forth in the Prospectus, subject to the restrictions contained therein; and
- (vi) the Plan Fiduciary is (A) responsible for the decision to invest in the Fund, (B) independent of the Fund, the Manager, the Investment Manager or any of their affiliates and (C) qualified to make such investment decision.

43. I understand and acknowledge that if any provision of this Application Form is invalid or unenforceable under any applicable law, then such provision shall be deemed inoperative to the extent that it may conflict therewith and shall be deemed modified to conform with such applicable law. Any provision hereof which may be held invalid or unenforceable under any applicable law shall not affect the validity or enforceability of any other provisions hereof, and to this extent the provisions hereof shall be severable.
44. The Administrator may electronically deliver reports to Shareholders. Such reports may include but are not limited to contract notes, holdings confirmations, valuation statements and other reports which may be issued by the Administrator from time to time ("Reports"). I understand and acknowledge that there are risks that are associated with electronic delivery of the Reports. Such risks include, but are not limited to, the risks that systems outages may occur, as well as the risks that email messages may not be secure and may contain computer viruses or other defects, may not be accurately replicated on other systems, or may be intercepted, deleted or interfered without the knowledge of the sender or the intended recipient. The Fund, the Manager, the Investment Manager, the Administrator and their directors, officers, employees and agents make no warranties in relation to these matters, and shall have no liability for and against any loss and claim of any kind resulting from the electronic delivery of the Reports. I hereby agree that the Fund, the Manager, the Investment Manager, the Administrator and their directors, officers, employees and agents shall be fully indemnified and shall not be liable to the Fund or to any Shareholders in the Fund for any direct loss, damage, expense (including without limitation, legal counsel and professional fees and other costs and expenses incurred in connection with the defense of any claim, action or proceedings) occasioned by act or omission of the Administrator and its directors, officers and employees in connection with the electronic delivery of Reports other than as a result of the fraud, negligence, bad faith, willful misconduct or reckless disregard of the Administrator in the performance of its duties as Administrator of the Fund.
45. In accordance with the provisions of the Data Protection Acts 1988 to 2018 (as may be amended or supplemented from time to time) and the EU's General Data Protection Regulations 2016/679 (the "GDPR" (together the "Data Protection Legislation"), I acknowledge and am informed that personal data given in this Application Form (or otherwise provided in connection with an application to subscribe for Shares in the Fund, on application or at any other time, including without limitation my name, age, contact details, bank account details, transactions and the invested amount, and any information regarding the dealing in Shares (subscription, conversion, redemption and transfer) (the "Personal Data"), will be collected, recorded, stored, adapted, transferred and processed, by electronic

means or otherwise, by the Fund as a “data controller” under the Data Protection Legislation, and as further described in the Fund’s data privacy notice, which is set out in Appendix I hereto and is otherwise available upon request.

46. The Fund is not a category of firm which comes within the scope of the Investor Compensation Act 1998 (of Ireland) and consequently is not a member of or required contribute to any compensation scheme established in Ireland with respect to the payment of compensation to eligible investors. Thus no compensation under any such scheme will be payable to investors in respect of contracts made with the Fund.
47. I understand and acknowledge that to be valid, this Application Form must be signed by duly authorised persons and should be accompanied by duly certified documentation. If this Application Form is signed under power of attorney, such power of attorney or a duly certified copy thereof must accompany this application. I understand and acknowledge that the original signed Application Form must be provided to the Administrator prior to any allotment of Shares. I understand that if not fully completed to the satisfaction of the Fund and/or the Administrator, this application may be rejected.
48. I undertake to abide by the restrictions on transfers of Shares set out in the Prospectus.
49. I acknowledge and understand that representations and warranties made by me in this Application Form shall survive the closing of the transactions contemplated hereby and any investigation made by the Fund.
50. This Application Form may be executed in one or more counterparts, all of which together shall constitute one instrument.
51. I understand that the value of my Shares may be based on unaudited and, in some cases, estimated valuations of the Fund’s investments and that any valuation provided in my account statement may be an unaudited, estimated value.
52. If a resident of Canada, I am (i) purchasing the Shares as principal and qualify as an accredited investor within the meaning of National Instrument 45-106 Prospectus Exemptions and as a Permitted Client within the meaning of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations; or (ii) purchasing the Shares as principal in an amount of not less than Cdn. \$150,000. I acknowledge that the Shares may be subject to resale restrictions under applicable Canadian securities legislation. If required by applicable law, I will file or assist the Administrator and/or the Fund in filing a report or reports of the transaction with the applicable Canadian securities commission or other regulatory authority. I acknowledge that I have required that all documentation in connection with this transaction be drawn up in English. Le souscripteur reconnaît, par les présentes, qu’il a exigé que tous les documents concernant cette transaction soient rédigés en anglais.
53. If a resident of Singapore, I understand that the Fund’s Prospectus is being furnished to me on a confidential basis and solely for my information and may not be reproduced, disclosed or distributed to any other person. By accepting this Prospectus, I (i) represent and warrant that I am either an institutional investor as defined under Section 4A of the SFA, a relevant person as defined under Section 305 of the SFA or persons to whom an offer is being made, as referred to in Section 305(2) of the SFA; and (ii) agree to be bound by the disclaimers, limitations and restrictions described in the Prospectus and this Application Form. I acknowledge that the offer of interests in the Fund is made in reliance on the exemption(s) provided under the Singapore Securities and Futures Act (Cap. 289) (“SFA”) and is not made in or accompanied by a prospectus that is registered by the Monetary Authority of Singapore and pursuant thereto I further acknowledge and unconditionally undertake that the interests offered herein and accepted by me in accordance with the terms and condition herein shall not be subsequently sold by me to any person in Singapore, unless the offer resulting in such subsequent sale is made in compliance with the SFA and/or in reliance on any applicable exemption(s) provided under the SFA.
54. I acknowledge that the Fund operates a cash account in different currencies for and on behalf of the Fund into which (i) subscription monies received from investors who have subscribed for Shares are deposited and held until Shares are issued as of the relevant Dealing Day; and (ii) redemption monies due to investors who have redeemed Shares are deposited and held until paid to the relevant investors; and (iii) dividend payments owing to Shareholders are deposited and held until paid to such Shareholders (hereinafter referred to as “Fund Cash Account(s)"). I acknowledge that all subscriptions, redemptions and dividends payable to or from the Fund are channeled and managed through the Fund Cash Account.
55. I acknowledge that my subscription monies/ redemption monies/dividend monies will be paid into the Fund Cash Account, that such monies will be treated as an asset of the Fund and I will not benefit from the application of any

- investor money protection rules (i.e. the monies will not be held on trust as investor monies for me) and that I will be an unsecured creditor of the Fund (i) with respect to the amount subscribed for Shares and held in the relevant Fund Cash Account until such Shares are issued to me as of the relevant Dealing Day or (ii) with respect to the redemption/dividend amount to be paid and held in the relevant Fund Cash Account until such amount is paid to me (whichever is applicable).
56. I acknowledge that in accordance with applicable anti money-laundering and counter terrorist financing requirements (the “AML Requirements”), redemption/dividend monies shall not be paid on un-verified accounts. In the event that I fail to submit the necessary documentation requested by the Fund or its delegate as required under the AML Requirements, redemption/dividend monies will be held in the Fund Cash Account and shall remain an asset of the Fund and I will not benefit from the application of any investor money protection rules (i.e. the redemption/dividend monies will not be held on trust for me). In such circumstances, I acknowledge that I will be an unsecured creditor of the Fund in respect of such redemption/dividend monies until such time as the relevant documentation required by the Fund has been received to its satisfaction and the redemption/dividend monies have been paid to me.
57. I acknowledge that in the event that the Fund has insufficient assets to discharge its liabilities while my monies are held in a Fund Cash Account, there is no guarantee that the Fund will have sufficient funds to pay unsecured creditors in full. I acknowledge that we have been advised by the Fund to ensure that all relevant documentation requested by the Fund or its delegate in order to comply with AML Requirements is submitted to the Fund or its delegate promptly on subscribing for Shares in the Fund.
58. I acknowledge that the following risks arise in relation to the operation of the Fund Cash Accounts: (i) in the event that subscription monies received and held in a Fund Cash Account are lost prior to the issue of Shares to the relevant investor as of the relevant Dealing Day, the Fund may be obliged to make good any losses suffered by the investor (in its capacity as a general creditor of the Fund), in which case such loss will need to be discharged out of the assets of the Fund and therefore will represent a diminution in the Net Asset Value per Share for existing Shareholders of the Fund; (ii) in the event that redemption or dividend monies held in a Fund Cash Account are lost prior to payment to the relevant investor/Shareholder, the Fund may be obliged to make good any losses suffered by the investor/Shareholder (in its capacity as a general creditor of the Fund), in which case such loss will need to be discharged out of the assets of the Fund and therefore will represent a diminution in the Net Asset Value per Share for existing Shareholders of the relevant Fund; and (iii) in the event of an insolvency of the Fund, (a) there is no guarantee that the Fund will have sufficient funds to pay unsecured creditors in full; (b) investors who have forwarded subscription monies in advance of a Dealing Day and which are held in a Fund Cash Account and investors/Shareholders due redemption/dividend monies which are held in a Fund Cash Account will rank equally with all other unsecured creditors of the Fund and will be entitled to a pro-rata share of monies which are made available to all unsecured creditors by the insolvency practitioner; and (c) in such circumstances, the investor subscribing for Shares may not recover all monies originally paid into the Fund Cash Account in relation to the application for Shares and the redeeming investor entitled to redemption monies and the Shareholder entitled to a dividend payment may not recover all monies originally paid into the Fund Cash Account for onward transmission to that investor/Shareholder.
59. In the event that Shares are allotted/issued notwithstanding that cleared funds have not been received within the usual time limits by the Fund as set out in the Prospectus, I acknowledge that the Fund may cancel the allotment/issue of my Shares and I will be liable to pay to the Fund interest at such rate as may be determined by the Directors, the Manager or the Investment Manager from time to time and/or other losses, charges or expenses suffered or incurred by the Fund or its delegates as a result of late payment or non-payment by me of subscription monies.
60. I understand that counsel to the Fund may also be counsel to the Manager, the Investment Manager and their affiliates. The Directors may execute on behalf of the Fund any consent to the representation of the Fund that counsel may request pursuant to the applicable rules of professional conduct in any jurisdiction (the “Rules”). The Fund has selected Purrington Moody Weil LLP (the “Fund Counsel”) as legal counsel to the Fund with respect to U.S. law. I acknowledge that the Fund Counsel does not represent me in the absence of a clear and explicit agreement to such effect between me and the Fund Counsel (and then only to the extent specifically set forth in that agreement), and that in the absence of any such agreement Fund Counsel shall not owe any duties directly to me. In the event any dispute or controversy arises between me and the Fund, or between me or the Fund, on the one hand, and the Manager or the Investment Manager (or an affiliate thereof) that the Fund Counsel represent on the other hand, then I agree that the Fund Counsel may represent either the Fund, the Manager or the Investment Manager (or its affiliate), or both, in any such dispute or controversy to the extent permitted by the Rules, and I hereby consent to such representation. I further acknowledge that, whether or not the Fund Counsel has in the past represented me with respect to other matters, the Fund Counsel has not represented me in the preparation and negotiation of this Application Form.

I declare that the information contained in this Application Form and any attached documentation is true and accurate to the best of my knowledge and belief.

SIGNATURE (MUST BE COMPLETED BY ALL APPLICANTS) *

I/We declare that the information contained in this form and any attached documentation is true and accurate to the best of my/our knowledge and belief.

Date: _____	
Signed:	Signatory Capacity if applicable (i.e. Director/manager):
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
IN THE CASE OF ALL JOINT HOLDERS — ALL HOLDERS MUST COMPLETE THIS DECLARATION.	

INVESTOR TAX RESIDENCY (THIS SECTION MUST BE COMPLETED) *

A Declaration of Residence outside Ireland or a Declaration of Exempt Irish Residents must also be completed where indicated.

INVESTMENT UNDERTAKING TAX — DECLARATION OF RESIDENCE**DECLARATION OF RESIDENCE INSIDE OR OUTSIDE IRELAND (OWN BEHALF ONLY)**

Please tick (i), (ii) or (iii) as appropriate.

 (i) NON-IRISH RESIDENTS

I am/we are not currently resident or ordinarily resident and will not cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. *If this box is ticked, please also complete the Declaration of Residence Outside Ireland below.*

OR

 (ii) IRISH RESIDENTS

I am/we are an Irish resident who will cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. *Irish resident companies entitled to the lower rate of Investment Undertaking Tax are required to provide a statement on its letterhead confirming that the company is within the charge of corporation tax.*

OR

 (iii) EXEMPT IRISH RESIDENTS

I am/we are an Irish resident who will not cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. *If this box is ticked, please also complete the Declaration of Exempt Irish Residents below.*

DECLARATION OF RESIDENCE FOR THE BENEFICIAL OWNER INSIDE OR OUTSIDE IRELAND (INTERMEDIARIES ONLY)

Please tick (i), (ii) or (iii) as appropriate.

 (i) NON-IRISH RESIDENTS

As an Intermediary, I/we declare that the person who will be beneficially entitled to the Shares is not currently resident or ordinarily resident and will not cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. *If this box is ticked, please also complete the Declaration of Residence Outside Ireland below.*

OR

(ii) IRISH RESIDENTS

As an Intermediary, I/we declare that the person who will be beneficially entitled to the Shares is an Irish resident who will cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. *Irish resident companies entitled to the lower rate of Investment Undertaking Tax are required to provide a statement on its letterhead confirming that the company is within the charge of corporation tax.*

OR

 (ii) EXEMPT IRISH RESIDENTS

As an Intermediary, I/we declare that the person who will be beneficially entitled to the Shares is an Irish resident who will not cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. *If this box is ticked, please also complete the Declaration of Exempt Irish residents below.*

Authorised signatory: _____

Authorised signatory: _____

Date: _____

DECLARATION OF RESIDENCE OUTSIDE IRELAND

It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of Shares.

Declaration on Own Behalf

I/we* declare that I am/we are* applying for the Shares on my own/our own behalf/on behalf of a company* and that I am/we are/the company* is entitled to the Shares in respect of which this declaration is made and that

- I am/we are/the company is* not currently resident or ordinarily resident in Ireland, and
- should I/we/the company* become resident in Ireland I will/we will* so inform you, in writing, accordingly.

*Delete as appropriate.

Declaration as Intermediary

I/we* declare that I am/we are* applying for Shares on behalf of persons:

- who will be beneficially entitled to the Shares; and
- who, to the best of my/our* knowledge and belief, are neither resident nor ordinarily resident in Ireland.

I/we* also declare that:

- unless I/we* specifically notify you to the contrary at the time of application, all applications for Shares made by me/us* from the date of this application will be made on behalf of such persons; and,
- I/we* will inform you in writing if I/we* become aware that any person, on whose behalf I/we* holds Shares, becomes resident in Ireland.

*Delete as appropriate.

Name and address of applicant: _____

Signature of applicant or authorised signatory: _____ (declarant)

Capacity of authorised signatory (if applicable): _____ Date: _____

JOINT APPLICANTS

Names: _____ Signatures: _____

IMPORTANT NOTES

1. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
2. To be valid, the Application Form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.
3. the declaration required by the Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the declaration.

DECLARATION OF EXEMPT IRISH RESIDENT ENTITIES

DECLARATION REFERRED TO IN SECTION 739D(6), TAXES CONSOLIDATION

It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of Shares.

- I declare that the information contained in this declaration is true and correct.
- I also declare that I am applying for the Shares on behalf of the applicant named below who is entitled to the Shares in respect of which this declaration is made and is a person referred to in Section 739D(6) of the Taxes Consolidation Act, 1997, being a person who is: *(please tick (☐) as appropriate)*
 - a pension scheme;
 - a company carrying on life business within the meaning of section 706 TCA
 - an investment undertaking;
 - an investment limited partnership;
 - a special investment scheme;
 - a unit trust to which section 731(5)(a) TCA 1997 applies;
 - a charity being a person referred to in section 739D(6)(f)(i) TCA a
 - qualifying management company;
 - entitled to exemption from income tax and capital gains tax by virtue of sections 784A(2) TCA 1997 (see further requirement for Qualifying Fund Manager below);
 - a PRSA Administrator;
 - a credit union within the meaning of section 2 of the Credit Union Act 1997.

Additional requirements where the declaration is completed on behalf of a Charity:

- I also declare that at the time of making this declaration, the Shares in respect of which this declaration is made are held for charitable purposes only and
 - » form part of the assets of a body of persons or trust treated by the Revenue Commissioners as a body or trust established for charitable purposes only, or
 - » are, according to the rules or regulations established by statute, charter, decree, deed of trust or will, held for charitable purposes only and are so treated by the Revenue Commissioners.
- I undertake that, in the event that the person referred to in paragraph (7) of Schedule 2B TCA 1997 ceases to be a person referred to in Section 739D(6)(f)(i) TCA, 1997, I will, by written notice, bring this fact to the attention of the investment undertaking accordingly.

Additional requirements where the declaration is completed by a Qualifying Fund Manager/PRSA Administrator:

- I/we* also declare that at the time this declaration is made, the Shares in respect of which this declaration is made
 - » are assets of an approved retirement fund/an approved minimum retirement fund* or a PRSA, and
 - » are managed by the Declarant for the individual named below who is beneficially entitled to the Shares.
- I/we* undertake that, if the Shares cease to be assets of the approved retirement fund/the approved minimum retirement fund* or the PRSA, including a case where the Shares are transferred to another such fund or account, I/ we* will, by written notice, bring this fact to the attention of the investment undertaking accordingly.

*Delete as appropriate.

Additional requirements where the declaration is completed by an Intermediary:

- I/we* also declare that I am/we are* applying for Shares on behalf of persons who
 - » to the best of my/our* knowledge and belief, have beneficial entitlement to each of the Shares in respect of which this declaration is made, and
 - » is a person referred to in section 739D(6) TCA 1997.
- I/we* further declare that
 - » unless I/we* specifically notify you to the contrary at the time of application, all applications for Shares made by me/us* from the date of this application will be made on behalf of persons referred to in section 739D TCA 1997, and
 - » I/we* will inform you in writing if I/we* become aware that any person ceases to be a person referred to in section 739D(6) TCA 1997.

*Delete as appropriate.

Name of applicant: _____

Irish Tax Reference Number of Applicant: _____

Authorised signatory: _____ (declarant)

Capacity in which declaration is made: _____ Date: _____

Authorised signatory: _____ (declarant)

Capacity in which declaration is made: _____ Date: _____

IMPORTANT NOTES

1. This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.
2. Tax reference number in relation to a person has the meaning assigned to it by Section 885 TCA, 1997 in relation to a “specified person” within the meaning of that section. In the case of a charity, quote the Charity Exemption Number (CHY) as issued by Revenue. In the case of a qualifying fund manager, quote the tax reference number of the beneficial owner of the Share.
3. In the case of, (i) an exempt pension scheme, the administrator must sign the declaration; (ii) a retirement annuity contract to which Section 784 or 785 applies, the person carrying on the business of granting annuities must sign the declaration; (iii) a trust scheme, the trustees must sign the declaration. In the case of a charity, the declaration must be signed by the trustees or other authorised officer of a body of persons or trust established for charitable purposes only within the meaning of Sections 207 and 208 TCA 1997. In the case of an approved retirement fund/an approved minimum retirement fund or a PRSA, it must be signed by a qualifying fund manager or PRSA administrator. In the case of an intermediary, the declaration must be signed by the intermediary. In the case of a company, the declaration must be signed by the company secretary or other authorised officer. In the case of a unit trust it must be signed by the trustees. In any other case it must be signed by an authorised officer of the entity concerned or a person who holds a power of attorney from the entity. A copy of the power of attorney should be furnished in support of this declaration.

RETURN OF VALUES (INVESTMENT UNDERTAKINGS) REGULATIONS 2013

The Fund must collect additional information in order to satisfy Return of Values (Investment Undertakings) Regulations 2013 (S.I. 245 of 2013). Therefore, any individual, company or any unincorporated body of persons which are an Irish resident or ordinarily Irish resident must provide the following additional information:

Tax Identification Number (TIN)/PPS Number: _____

Any one of the following additional documents is required to verify the TIN or PPS Number (either a copy or the original is sufficient):

- P60 P45
- P21 Balancing Statement
- Payslip (where employer is identified by name or tax number) Drug Payment Scheme Card
- European Health Insurance
- Card Tax Assessment
- Tax Return Form
- PAYE Notice of Tax Credits
- Child Benefit Award
- Letter/Book Pension Book
- Social Services Card
- Public Services Card

In addition, any printed documentation issued by the Revenue Commissioners or by the Department of Social Protection which contain your name, address and tax reference number will also be acceptable. In the case of joint account holders, the additional documentation is required for each applicant.

Your personal information will be handled by the Administrator or its duly appointed delegates as Data Processor for the Fund in accordance with the Data Protection Legislation. Your information provided herein will be processed for the purposes of complying with the Return of Values (Investment Undertakings) Regulations 2013 and this may include disclosure to the Irish Revenue Commissioners.

ENTITY CERTIFICATION FOR FATCA AND CRS

Instructions for completion and Data Protection notice

We are obliged under Section 891E, Section 891F, and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each account holder's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this Application Form you are providing personal information, which may constitute personal data within the meaning of the General Data Protection Regulation (697/2016/EU) (the "GDPR") and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1988 to 2018). Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an account holder's interests in the Fund, with the Irish tax authorities, the Revenue Commissioners. They in turn may exchange this information, and other financial information with foreign tax authorities, including tax authorities located outside the EU.

If you have any questions about this form or defining the account holder's tax residency status, please speak to a tax adviser or local tax authority.

For further information and guidance on FATCA or CRS please refer to the Irish Revenue or the OECD website at:

<http://www.revenue.ie/en/business/aeoi/index.html>

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/> in the case of CRS only.

If any of the information below about the account holder's tax residence or FATCA/CRS classification changes in the future, please ensure that we are advised of these changes promptly.

Account holders that are Individuals or Controlling Persons should not complete this form and should complete the form entitled "Individual (including Controlling Persons) Self-Certification for FATCA and CRS".

*(Mandatory fields are marked with an *)*

SECTION 1: ACCOUNT HOLDER IDENTIFICATION*

Account Holder Name*: _____ (the "Entity")

Country of Incorporation or Organisation*: _____

Current (Resident or Registered) Address*:

Number: _____ Street: _____

City, Town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Mailing Address (if different from above):

Number: _____ Street: _____

City, Town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

SECTION 2: FATCA DECLARATION*

Please tick either (A), (B) or (C) below and complete as appropriate.

- (A) The Entity is a *Specified U.S. Person* and the Entity's U.S. Federal Taxpayer Identifying number (U.S. TIN) is as follows:
U.S. TIN: _____ OR
- (B) The Entity is not a *Specified U.S. Person* (Please also complete Sections 3, 4 and 5) OR
- (C) The Entity is a U.S. person but not a *Specified U.S. Person* (Please also complete Sections 4 and 5)
Indicate exemption: _____

SECTION 3: ENTITY'S FATCA CLASSIFICATION*

The information provided in this section is for FATCA, please note your FATCA classification may differ from your CRS classification in Section 5.

3.1 Financial Institutions under FATCA

If the Entity is a Financial Institution, please tick one of the below categories and provide the Entity's GIIN at 3.2 or indicate at 3.3 the reason why you are unable to provide a GIIN.

- I. *Irish Financial Institution or a Partner Jurisdiction Financial Institution.*
- II. *Registered Deemed Compliant Foreign Financial Institution.*
- III. *Participating Foreign Financial Institution.*

3.2 Please provide the Entity's *Global Intermediary Identification number (GIIN)*

3.3 If the Entity is a *Financial Institution* but unable to provide a *GIIN*, please tick one of the below reasons:

- I. *The Entity has not yet obtained a GIIN but is sponsored by another entity which does have a GIIN.*

Please provide the sponsor's name and sponsor's *GIIN* :

Sponsor's Name: Sponsor's
GIIN:

NOTE *This option is only available to Sponsored Investment Entities in Model 1 IGA jurisdictions.* Sponsored Investment Entities that do not have U.S. reportable accounts are not required to register and obtain a *GIIN* with the IRS unless and until U.S. reportable accounts are identified.

- II. *The Entity is an Exempt Beneficial Owner.*

Please tick and confirm the category of Exempt Beneficial Owner:

- a. Government Entity
- b. International Organisation
- c. Foreign Central Bank
- d. Exempt Retirement Fund
- e. Collective Investment Vehicle Wholly Owned by Exempt Beneficial Owners.

III. *The Entity is a Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution under Annex II of the IGA Agreement).*

Indicate exemption: _____

IV. *The Entity is a Non-Participating Foreign Financial Institution.*

V. *The Entity is an Excepted Foreign Financial Institution.*

Indicate exemption: _____

VI. *The Entity is a Trustee Documented Trust.*

Please provide your Trustee's name and GIIN.

Trustee's Name: Trustee's

GIIN: _____

3.4 Non-Financial Institutions ("NFFE") under FATCA:

If the Entity is not a Financial Institution, please confirm the Entity's FATCA classification below by ticking one of the below categories:

I. *Active (NFFE)*

II. *Passive (NFFE)*

Please tick the box that applies:

a. *Passive (NFFE) with no Controlling Persons that are specified U.S. Persons.*

b. *Passive (NFFE) with Controlling Persons that are specified U.S. Persons. (If this box is ticked, please also complete section 6.1 for each of the Controlling Person(s) of the Entity and complete an "Individual (Including Controlling Person(s) Self-certification for FATCA and CRS" form for each Controlling Person(s) as outlined in Section 6.2.)*

III. *Excepted (NFFE)*

VI. *Direct Reporting (NFFE)*

Please provide your GIIN.

GIIN: _____

(Note that Entities may have more than one country of Tax Residence.)

Please indicate the Entity's country of tax residence for CRS purposes, (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TIN")). Please refer to the OECD CRS Web Portal for AEOI for more information on Tax Residence and TIN's: <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/#d.en.347759>.

If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.

NOTE Under the Irish legislation implementing the CRS, provision of a Tax ID number (TIN) is required to be provided unless:

a. You are tax resident in a Jurisdiction that does not issue a TIN

OR

b. You are tax resident only in a non-reportable Jurisdiction (i.e. Ireland or the USA)

Country of Tax residency	Tax ID number	If TIN unavailable select (A, B or C) and check box below

If a TIN is unavailable, please tick the appropriate box as follows:

- Reason A — The country/jurisdiction where the Account Holder is resident does not issue TINs or TIN equivalents to its residents.
- Reason B — The Account Holder is otherwise unable to obtain a TIN. (Please explain why you are unable to obtain a TIN.) _____
- Reason C — No TIN is required. (NOTE This should only be selected if the domestic law of the relevant country/jurisdiction does not required the collection of the TIN issued by such country/jurisdiction.)

SECTION 5: ENTITY'S CRS CLASSIFICATION*

(The information provided in this section is for CRS. Please note an Entity's CRS classification may differ from its FATCA classification in Section 3 above.)

In addition please note that the information that the Entity has to provide may differ depending on whether they are resident in a participating or non-participating CRS Jurisdiction.

For more information please see the OECD CRS Standard and associated commentary: <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard>.

5.1 Financial Institutions under CRS:

If the Entity is a Financial Institution, Resident in either a Participating or Non-Participating CRS Jurisdiction please review and tick one of the below categories that applies and specify the type of Financial Institution below.

NOTE Please check the Irish Revenue AEOI portal at the time of completion of this form to confirm whether your country of Tax Jurisdiction is considered Participating or Non-Participating for the purposes

of CRS Due- Diligence in Ireland: <https://www.revenue.ie/en/companies-and-charities/documents/aeoi/participating-jurisdictions.pdf>.

I. *A Reporting Financial Institution resident in a participating CRS jurisdiction.*

II. *A Financial Institution Resident in a Non-Participating Jurisdiction.*

Please tick the box that applies:

- a. An *Investment Entity* resident in a *Non-Participating Jurisdiction* and managed by another *Financial Institution*. (If this box is ticked, please indicate the name of any *Controlling Person(s)* of the Entity in Section 6 below and complete a separate individual self-certification forms for each of your *Controlling Persons*.)
- b. An *Investment Entity* resident in a *Non-Participating Jurisdiction* that is not managed by another *Financial Institution*.
- c. Other *Financial Institution*, including a *Depository Financial Institution*, *Custodial Institution* or *Specified Insurance Company*.

III. *Non-Reporting Financial Institution under CRS.*

Specify the type of *Non-Reporting Financial Institution* below:

- a. *Government Entity*
- b. *International Organisation*
- c. *Central Bank*
- d. *Broad Participation Retirement Fund*
- e. *Narrow Participation Retirement Fund*
- f. *Pension Fund of a Governmental Entity, International Organisation, or Central Bank*
- g. *Exempt Collective Investment Vehicle*
- h. *Trust whose trustee reports all required information with respect to all CRS*
- i. *Reportable Accounts*
- j. *Qualified Credit Card Issuer*
- k. *Other Entity defined under the domestic law as low risk of being used to evade tax.*

Specify the type provided in the domestic law: _____

5.2 Non Financial Institutions (“NFE”) under CRS:

If the Entity is a not defined as a *Financial Institution* under CRS then please tick one of the below categories confirming if you are an *Active NFE* or *Passive NFE*.

I. *Active NFE* — a corporation the stock of which is regularly traded on an established securities market.

Please provide the name of the established securities market on which the corporation is regularly traded: _____

- II. *Active NFE* — if you are a Related Entity of a regularly traded corporation.
 Please provide the name of the regularly traded corporation that the Entity is a Related Entity of: _____
 Please provide details of the securities market on which the Entity is regularly traded: _____
- III. *Active NFE* — a Government Entity or Central Bank.
- IV. *Active NFE* — an Internal Organisation.
- V. *Active NFE* — *other* than those listed in I, II, III or IV above (for example, a start-up NFE or a non-profit NFE).
- VI. *Passive NFE* — If this box is ticked please also complete Section 6.1 for each of the Controlling Person(s) of the Entity and a separate “*Individual (including Controlling Person’s) Self-Certification for FATCA and CRS form*” as indicated in Section 6.2 for each Controlling Person(s).

SECTION 6: CONTROLLING PERSONS

NOTE Please note that each Controlling Person must complete a separate “*Individual (including Controlling Persons) FATCA and CRS Self-Certification*” form.

If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the Entity.

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard: <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/>.

6.1 Controlling Person(s) of the Account Holder:

If you have ticked a Passive NFE with Controlling Persons in either the FATCA or CRS Classification sections above, then please also complete this section for each of the Controlling Person(s) of the account holder and provide a separate “*Individual (including Controlling Persons) FATCA and CRS Self-Certification*” form for each Controlling Person as per 6.2 below.

Indicate the name of all Controlling Person(s) of the Account Holder:

- I. _____
- II. _____
- III. _____

NOTE In case of a trust, Controlling Persons means the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary(ies) or class(es) of beneficiary(ies), AND any other natural person(s) exercising ultimate effective control over the trust. With respect to an Entity that is a legal person, if there are no natural person(s) who exercise control over the Entity, then the Controlling Person will be the natural person who holds the position of senior managing official of the Entity.

6.2 Complete a separate “*Individual (including Controlling Persons) Self-Certification for FATCA and CRS*” form for each Controlling Person listed in Section 6.1.

SECTION 7: DECLARATIONS AND UNDERTAKINGS*

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I/We acknowledge and consent to the fact that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We on behalf of the Entity undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstance (for guidance refer to Irish Revenue or OECD website) occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature(s)*:

Print Name(s)*:

Capacity in which declaration is made*:

Date (dd/mm/yyyy)*: _____

INDIVIDUAL (INCLUDING CONTROLLING PERSONS) SELF-CERTIFICATION FOR FATCA AND CRS

Instructions for completion and Data Protection notice

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each account holder's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this form you are providing personal information which may constitute personal data within the meaning of the General Data Protection Regulation (697/2016/EU) (the "GDPR") and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1988 to 2003. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an account holder's interests in the Fund, with the Irish tax authorities, the Revenue Commissioners. They may in turn exchange this information, and other financial information with foreign tax authorities, including tax authorities outside the EU.

If you have any questions about this form or defining the account holder's tax residency status, please speak to a tax adviser or local tax authority.

For further information and guidance on FATCA or CRS please refer to the Irish Revenue or the OECD websites at:

<http://www.revenue.ie/en/business/aeoi/index.html>

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/> in the case of CRS only.

If any of the information below about the account holder's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint account holders each account holder is required to complete a separate Self-Certification form.

Sections 1, 2, 3 and 5 must be completed by all Account holders or Controlling Persons.

Section 4 should only be completed by any individual who is a Controlling Person of an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.

*(Mandatory fields are marked with an *)*

SECTION 1: ACCOUNT HOLDER/CONTROLLING PERSON IDENTIFICATION*

Account Holder/Controlling Person Name*: _____

Current Residential Address*:

Number: _____ Street: _____

City, Town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Mailing Address (if different from above):

Number: _____ Street: _____

City, Town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Place and Date of Birth*:

Town or City of Birth*: _____ Country of Birth*: _____

Date of Birth*: _____

SECTION 2: FATCA DECLARATION OF U.S. CITIZENSHIP OR U.S. RESIDENCE FOR TAX PURPOSES *

Please tick either (A) or (B) and complete as appropriate.

(A) I confirm that I am a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

U.S. TIN: _____ OR

(B) I confirm that I am not a U.S. citizen or resident in the U.S. for tax purposes.

SECTION 3: COMMON REPORTING STANDARD (CRS) DECLARATION OF TAX RESIDENCY/RESIDENCIES (PLEASE CONFIRM ALL TAX RESIDENCIES) *

Please indicate your country of tax residence (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers (“TINs”)).

For further guidance on Tax Residence and TINs, please refer to the OECD CRS Information Portal: <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/#d.en.347759>.

NOTE Under the Irish legislation implementing the CRS, provision of a Tax ID number (TIN) is required to be provided unless:

a. You are tax resident in a Jurisdiction that does not issue a TIN,

OR

b. You are tax resident only in a non-reportable Jurisdiction (i.e. Ireland or the USA).

Country of Tax residency	Tax ID number	If TIN unavailable select (A, B or C) and check box below

If a TIN is unavailable, please tick the appropriate box as follows:

Reason A — The country/jurisdiction where the Account Holder is resident does not issue TINs or TIN equivalents to its residents.

Reason B — The Account Holder is otherwise unable to obtain a TIN. (Please explain why you are unable to obtain a TIN.) _____

Reason C — No TIN is required. (NOTE This should only be selected if the domestic law of the relevant country/jurisdiction does not required the collection of the TIN issued by such country/jurisdiction.)

SECTION 4: TYPE OF CONTROLLING PERSON *

(ONLY to be completed by an individual who is a Controlling Person of an entity which is a Passive NFE or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.)

For Joint or multiple Controlling Person(s) please complete a separate *“Individual (Including Controlling Persons) Self-Certification for FATCA and CRS”* form for each Controlling Person.

Please confirm the type of Controlling Person applicable under CRS that applies to you/the Account holder by ticking the appropriate box:

	PLEASE TICK	ENTITY NAME
Controlling Person of a legal person — control by ownership	<input type="checkbox"/>	
Controlling Person of a legal person — control by other means	<input type="checkbox"/>	
Controlling Person of a legal person — senior managing official	<input type="checkbox"/>	
Controlling Person of a trust — settlor	<input type="checkbox"/>	
Controlling Person of a trust — trustee	<input type="checkbox"/>	
Controlling Person of a trust — protector	<input type="checkbox"/>	
Controlling Person of a trust — beneficiary	<input type="checkbox"/>	
Controlling Person of a trust — other	<input type="checkbox"/>	
Controlling Person of a legal arrangement (non-trust) — settlor-equivalent	<input type="checkbox"/>	
Controlling Person of a legal arrangement (non-trust) — trustee-equivalent	<input type="checkbox"/>	
Controlling Person of a legal arrangement (non-trust) — protector-equivalent	<input type="checkbox"/>	
Controlling Person of a legal arrangement (non-trust) — beneficiary equivalent	<input type="checkbox"/>	
Controlling Person of a legal arrangement (non-trust) — other-equivalent	<input type="checkbox"/>	

SECTION 5: DECLARATIONS AND UNDERTAKINGS *

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge and consent to the fact that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

DATA PROTECTION — CUSTOMER INFORMATION NOTICE

The Common Reporting Standard (CRS), formally referred to as the Standard for Automatic Exchange of Financial Account Information, is an information standard for the automatic exchange of information (AEOI), developed in the context of the Organisation for Economic Co-operation and Development (OECD).

The standard requires that Financial Institutions in participating jurisdictions gather certain information from account holders (and, in particular situations, also collect information in relation to relevant Controlling Persons of such account holders).

Under CRS account holder information (and, in particular situations, information in relation to relevant Controlling Persons of such account holders) is to be reported to the relevant tax authority where the account is held, which, if a different country to that in which the account holder resides, will be shared with the relevant tax authority of the account holder's resident country, if that is a CRS-participating jurisdiction.

Information that may be reported includes name, address, date of birth, place of birth, account balance, any payments including redemption and dividend/interest payments, Tax Residency(ies) and TIN(s).

Further information is available on the OECD website: <http://oecd.org/tax/automatic-exchange/>; and on the Irish Revenue website: <https://www.revenue.ie/en/companies-and-charities/international-tax/aeoi/index.aspx>.

Authorised Signature*:

Print Name*:

Capacity (if Controlling Person)*:

Date (dd/mm/yyyy)*: _____

APPENDIX I – FUND’S DATA PRIVACY NOTICE

In accordance with the General Data Protection Regulation (697/2016/EU) (the “**GDPR**”) and applicable Irish data protection legislation (currently the Irish Data Protection Act 2018) (collectively, “**Data Protection Legislation**”) Columbus Point Global Equity ICAV (the “**Fund**”) being a data controller, must provide you with information on how the personal data that you provide as part of your subscription to shares in the Fund will be processed by the Fund, its service providers and delegates and their duly authorised agents and any of their respective related, associated or affiliated companies.

As a consequence of your investment, the Fund acting as a data controller may itself (or through third parties including, but not limited to, Sanne Group Administration Services (Ireland) Limited as administrator, registrar and transfer agency of the Fund (the “**Administrator**”), Bridge Fund Management Limited as UCITS management company of the Fund (the “**Manager**”) for compliance services including providing the Fund’s Money Laundering Reporting Officer, Columbus Point LLP as distributor of the Fund, Doran & Minehan (Ireland) as the Fund’s Tax Consultants, Dillon Eustace as the Fund’s Irish Legal Advisors, Tudor Trust Limited as the Fund’s Corporate Secretary and Grant Thornton (Ireland) as the Fund’s Auditors (the “**Service Providers**”)) process your personal information or, to the extent that you are a non-natural person, that of your directors, members, partners, officers, employees, intermediaries and/or beneficial owners. Save where otherwise expressly provided, any reference in this Data Privacy Statement to “you” or “your” in the context of processing personal data of data subjects shall be understood to mean and relate to the personal data of your directors, officers, employees, intermediaries and/or beneficial owners as the context may require.

In certain circumstances, each of the Administrator, the Manager and/or Columbus Point LLP may itself use your personal data for its own purposes and as a result be considered a data controller of such data. In such circumstances, all rights afforded to you as a data subject under the GDPR shall be solely exercisable against such person. In this regard, please note the following:

Purposes of Processing and Legal Basis for Processing

The personal data collected from you or provided by you or on your behalf in connection with your holdings in the Fund will be collected, stored, disclosed, used and otherwise processed by the Service Providers on behalf of the Fund for the purposes outlined in the table below.

Processing Activity by or on behalf of the Fund	Legal Basis for Processing
Where you are a natural person, managing and administering your holdings in the Fund on an ongoing basis.	Performance of the contract between the Fund and you.
Where you are a natural person, disclosures to third parties such as auditors, regulatory authorities, tax authorities and technology providers in the context of the day to day operations of the Fund.	Performance of the contract between the Fund and you.
Where you as an investor are a non-natural person, disclosures to third parties such as auditors, regulatory bodies, tax authorities and technology providers in the context of the day to day operations of the Fund.	Pursuing the legitimate interests of the Fund in managing and administering the holdings of the non-natural person in the Fund and any related account on an ongoing basis.
Complying with any applicable legal, tax or regulatory obligations imposed on the Fund including legal obligations under inter alia fund law, Irish laws and regulations, under tax law and under anti-money laundering / counter terrorist financing legislation.	Compliance with a legal obligation to which the Fund is subject.

The Administrator, the Manager and AIFM as a data controller of your personal data may also collect, store, disclose, use or otherwise process your personal data for the purposes outlined in the table below.

Processing Activity by the Administrator, the Manager and Columbus Point LLP	Legal Basis for Processing
Retaining AML and other records received in connection with your investment in the Fund	Compliance with a legal, tax or regulatory obligation to which the Administrator, the Manager or Columbus Point LLP is subject, including those legal obligations imposed on it under tax law and under anti-money laundering / counter terrorist financing legislation and relevant financial sanctions regimes.

Please note that where personal data is processed for purposes of legitimate interests, you have a right to object to such processing and the Fund and its appointed Service Providers will no longer process the personal data unless it can be demonstrated that there are compelling legitimate grounds for the processing which override your interests, rights and freedoms or for the establishment, exercise or defence of legal claims.

Profiling and Screening

The Fund and its appointed Service Providers may engage in PEP screening and financial sanctions screening programs defined by the European Union (“EU”), the United Nations (“UN”), Her Majesty’s Treasury (“HMT”) and the Office of Foreign Assets Control (“OFAC”) for the purposes of complying with the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010 as may be amended or replaced from time to time and with UN, EU and other applicable sanctions regimes. The implementation of such PEP screening and financial sanctions screening programmes may result in the Fund or its Service Providers refusing an application for Shares in the Fund or delaying or refusing to make any redemption payment or distribution payment to you if you, your directors or any beneficial owner of your Shares appear on such screening programmes. In the event that you are identified as a PEP as a result of the screening process, you may be required to provide additional information and/or documentation to the Fund or its Service Providers. Such processing, which may reveal your political opinion, is considered lawful on the grounds of substantial public interest permitted under Article 9(2)(g) of the GDPR.

Undertaking in connection with other parties

By providing personal data to the Fund, you undertake to be authorised to disclose to the Fund relevant information applicable to the beneficial owner of the investment, to your directors and authorised signatories and to persons that own, directly or indirectly, an interest in the Fund. In this respect you confirm that you have provided these persons with all the information required under applicable data protection law, notably regarding their data protection rights, and received from these persons their authorisation for the processing and transfer of their personal data to us.

Disclosures to Service Providers and / or Third Parties

Personal data relating to you which is collected from you or provided by you or on your behalf may be handled by Service Providers appointed by the Fund and its or their duly appointed agents and any of their related, associated or affiliated companies for the purposes specified above.

These Service Providers will be obliged to adhere to the data protection laws of the countries in which they operate.

The Fund, the Manager, Columbus Point LLP and/or the Administrator may disclose your personal data to other third parties where required by law or for legitimate business interests. This may include disclosure to third parties such as auditors and the Central Bank of Ireland, regulatory bodies, taxation authorities and technology providers.

Transfers Abroad

Personal data collected from you or provided by you or on your behalf may be transferred outside of Ireland including to companies situated in countries outside of the European Economic Area (“EEA”) which may not have the same data protection laws as in Ireland. These countries include the Cayman Islands, the USA and, subsequent to 31 December 2020, the United Kingdom.

Where data transfers outside of the EEA take place, the Fund and/or the relevant Service Provider have taken the necessary steps to ensure that appropriate safeguards have been put in place to protect the privacy and integrity of such personal data, such as ensuring the implementation of binding corporate rules between companies within the Administrator’s Group and/or ensuring the implementation of model contracts by the Service Providers and their affiliates. Please contact the person(s) identified below should you wish to obtain information concerning such safeguards.

Data Retention Period

The Fund and its appointed Service Providers, including the Manager, Columbus Point and the Administrator, will retain all information and documentation provided by you in relation to your investment in the Fund for such period of time as may be required by applicable legal and regulatory requirements, being at least six years after the period of your investment has ended or the date on which you had your last transaction with us.

Your data protection rights

Please note that you have the following rights under the GDPR. In each case, the exercise of these rights is subject to the provisions of the GDPR:

- (i) You have a right of access to and the right to amend and rectify your personal data.
- (ii) You have the right to have any incomplete personal data completed.
- (iii) You have a right to lodge a complaint with a supervisory authority, in particular in the Member State of your habitual residence, place of work or place of the alleged infringement if you consider that the processing of personal data relating to you carried out by the Fund infringes the GDPR.
- (iv) You have a right to be forgotten (right of erasure of personal data).
- (v) You have a right to restrict processing.
- (vi) You have a right to data portability.
- (vii) You also have the right to object to processing where personal data is being processed for direct marketing purposes and also where the Fund or a Service Provider is processing personal data for legitimate interests.

Where you wish to exercise any of your data protection rights against the Fund, the Manager, Columbus Point LLP or the Administrator, please contact us via the details provided below under “Contact Us”.

The Fund, the Manager, Columbus Point LLP or the Administrator will respond to your request to exercise any of your rights under the GDPR in writing, as soon as practicable and in any event **within one month** of receipt of your request, subject to the provisions of the GDPR. The Fund, the Manager, Columbus Point LLP or the Administrator may request proof of identification to verify your request.

Failure to provide personal data

As outlined in the section titled “**Purposes of Processing and Legal Basis for Processing**”, the provision of personal data by you is required for us to manage and administer your holdings in the Fund and so that we can comply with the legal, regulatory and tax requirements referenced above. Where you fail to provide such personal data in order to comply with anti-money laundering/counter terrorist financing or other legal requirements, in certain circumstances, we may be prohibited from making redemption or any applicable dividend payments to you **and/or** may be required to discontinue our business relationship with you by compulsorily redeeming your shareholding in the Fund.

Contact us

If you have any questions about the Fund's or Columbus Point LLP's use of your personal information, please contact Steven Bishop at the following email address/using the following contact telephone number:

sbishop@columbuspoint.com

+44 (0)20 3949 8474.

If you have any questions about the Administrator's use of your personal information, please contact the Administrator at the following email address/using the following contact telephone number:

investorservicesie@sannegroup.com

+353 (1) 845 8160.

If you have any questions about the Manager's use of your personal information, please contact Patrick Robinson at the following email address/using the following contact telephone number:

patrick.robinson@bridgeconsulting.ie

+353 (1) 566 9812.

APPENDIX II – COLUMBUS POINT GLOBAL EQUITY ICAV (“the Fund”)

TERMS AND CONDITIONS OF SERVICE FOR INSTRUCTIONS ISSUED TO THE ADMINISTRATOR VIA EMAIL

INTRODUCTION

If you (hereinafter referred to as the “Investor”) wish to send Sanne Group Administration Services (Ireland) Limited, (the “Administrator”), instructions in respect of the Shares in the Fund in portable document format (“PDF”) or commonly used equivalent scanned form which is transmitted to the Administrator via email, then the terms as set out below will apply to the Investor’s account.

IT IS IMPORTANT THAT THE INVESTOR READS THESE TERMS AND CONDITIONS OF SERVICE CAREFULLY.

By completing the appropriate documentation (e.g. original subscription forms, additional subscription forms, transfer/ switch requests or redemption forms) and instructing the Administrator in respect of the Shares in the Fund via email, the Investor will have accepted the following Terms and Conditions of Service.

A. Procedure for PDF Instructions

1. The email address to submit PDF instructions is investorservicesie@sannegroup.com. This address is the sole address to be used for sending PDF instructions via email in respect of the Shares in the Fund. This address can also be used for other correspondence with the Administrator.
2. The Investor shall ensure that the PDF instruction is signed by properly authorised persons, scanned and attached to an email which is addressed to investorservicesie@sannegroup.com.
3. The Investor is not obliged to instruct in this manner.

B. General Terms and Conditions of Service

1. Email is not a secure form of communication and may be subject to interception, interruption, corruption, distortion, non-delivery, loss, may not be confidential, secure or error free and may contain viruses. Using and relying on email involves increased risk of fraud and of miscommunications including those due to a telecommunications system or equipment failure, misdirected communications or illegibility of the instructions or documents and the Investor will bear the risks if the Investor wishes to conduct the Investor’s dealings using email.
2. The Administrator is authorised and instructed to accept and execute any instructions in respect of Shares in the Fund given by the Investor in PDF form or by email. The Administrator will rely conclusively upon, and neither the Company nor the Administrator shall incur liability in respect of any action taken upon any instruction believed in good faith to be genuine.
3. Neither the Company nor the Administrator will be responsible or liable for the authenticity of instructions received from the Investor or any authorised person and may rely upon any instruction from any such person representing himself to be a duly authorised person reasonably believed by the Administrator to be genuine.
4. Neither the Company nor the Administrator will accept responsibility or liability of any nature whatsoever arising out of or in connection with instructions given by the Investor in PDF form or by email, including without limitation, the Investor’s use of an incorrect email address, failure of the Investor’s transmission, interception, alteration or corruption of the Investor’s email transmission, non-receipt of the Investor’s electronic instruction, failure of technical infrastructure, error, omission, interruption, deletion, defect, delay in operation or transmission, computer virus, communication line failure, or any allotment, switch or redemption or other action taken in good faith by the Administrator upon any electronic instruction. In addition, neither the Company nor the Administrator will be liable for any failure to act upon electronic instructions due to equipment failure or for any cause that is beyond the control of the Administrator.

APPENDIX III – DEFINITION OF “US PERSON” UNDER REGULATIONS OF THE SECURITIES ACT

1. “U.S. person” means:
 - (i) Any natural person resident in the United States;
 - (ii) Any partnership or corporation organised or incorporated under the laws of the United States;
 - (iii) Any estate of which any executor or administrator is a U.S. person;
 - (iv) Any trust of which any trustee is a U.S. person;
 - (v) Any agency or branch of a foreign entity located in the United States;
 - (vi) Any non-discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary for the benefit or account of a U.S. person;
 - (vii) Any discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary organised, incorporated, or (if an individual) resident in the United States; and
 - (viii) Any partnership or corporation if:
 - (A) organised or incorporated under the laws of any foreign jurisdiction; and
 - (B) formed by a U.S. person principally for the purpose of investing in securities not registered under the Securities Act, unless it is organised or incorporated, and owned, by “accredited investors” (as defined in Rule 501(a) of Regulation D promulgated under the Securities Act of 1933, as amended) who are not natural persons, estates or trusts.
2. Notwithstanding (1) above, the following are not “U.S. persons”:
 - (i) Any discretionary account or similar account (other than an estate or trust) held for the benefit or account of a non-U.S. person by a dealer or other professional fiduciary organised, incorporated, or (if an individual) resident in the United States;
 - (ii) Any estate of which any professional fiduciary acting as executor or administrator is a U.S. person if:
 - (A) an executor or administrator of the estate who is not a U.S. person has sole or shared investment discretion with respect to the assets of the estate; and
 - (B) the estate is governed by foreign law;
 - (iii) Any trust of which any professional fiduciary acting as trustee is a U.S. person, if a trustee who is not a U.S. person has sole or shared investment discretion with respect to the trust assets, and no beneficiary of the trust (and no settlor if the trust is revocable) is a U.S. person;
 - (iv) An employee benefit plan established and administered in accordance with the law of a country other than the United States and customary practices and documentation of such country;

- (v) Any agency or branch of a U.S. person located outside the United States if:
 - (A) The agency or branch operates for valid business reasons; and
 - (B) The agency or branch is engaged in the business of insurance or banking and is subject to substantive insurance or banking regulation, respectively, in the jurisdiction where located; and

- (vi) The International Monetary Fund, the International Bank for Reconstruction and Development, the Inter-American Development Bank, the Asian Development Bank, the African Development Bank, the United Nations, and their agencies, affiliates and pension plans, and any other similar international organisations, their agencies, affiliates and pension plans.

APPENDIX IV – AML DOCUMENTATION REQUIREMENTS DEPENDING ON LEGAL FORM OF APPLICANT

Outlined below is guidance on the documentation which the Administrator will require when undertaking due diligence to establish the identity of an investor and verify same to the extent warranted by risk.

The Administrator hereby reserves the right to request such further information and/or documentation from investors as is necessary from time to time to satisfy Irish regulatory requirements.

Examples of Photographic Identity Documentation (for verification purposes):

- Current passport.
- Current driving licence.
- Current national identity card.
- Identity document with photographic identity issued by a government department.

Examples of Proof of Address (for verification purposes):

- Bank statements/credit card statements.
- Utility bill.
- Household/motor insurance certificate and renewal notices.
- Correspondence from local authorities.
- Correspondence from the revenue commissioners or equivalent.
- Correspondence from any government body.
- Driving licence which confirms the address provided it has not also been used to satisfy the photographic identity requirement above.
- Payslip or salary advice dated within the previous 3 months.
- Confirmation of address from a lawyer or financial institution.

NOTE All documentation must reflect the current residential address and must be dated within the previous 3 months other than in the case of an official document known to be issued only or typically at fixed intervals of more than 3 months, in which case such document may be accepted during that period, to a maximum of 12 months (e.g. correspondence from local authorities).

NOTE Investors are required to notify the Administrator of any changes to the AML information which was previously provided (e.g. changes in directors/controllers or beneficial owners).

REGULATED CREDIT OR FINANCIAL INSTITUTION

Where the applicant is a Regulated Credit or Financial Institution in the EEA or a jurisdiction that has AML/CFT regulations that are considered no less robust than those in force in the EEA, please provide the following:

1. Proof of regulation.
2. Identify any beneficial owner entitled to 25% or more (directly or indirectly) of the share capital, profit or voting rights or otherwise exercise control over the management of the entity. Where there is no beneficial owner entitled to 25% or more, then the natural person(s) who hold the position of director(s) of the entity must be identified (along with address, date of birth and nationality).
3. Confirmation as to whether the company is investing on its own behalf or on behalf of underlying investors; and (as applicable) identify any beneficial owner entitled to 25% or more of the investment.

NOTE Where the beneficial owner of the investment or the company is deemed high risk per the risk rating requirements of the Administrator, the identity should be verified in line with its legal form.

Where the applicant is a Regulated Credit or Financial Institution but is not regulated in the EEA or a jurisdiction that has AML/CFT regulations that are considered no less robust than those in force in the EEA, please provide the following:

1. Full name of the company.
2. Registered number of the company.
3. Registered office address & principal business address of the company,
4. Details of directors of the company and verify the identity of two directors, or where applicable, one director and one authorised signatory.
5. Identify and verify any beneficial owner beneficially entitled to 25% or more (directly or indirectly) of the share capital, profit or voting rights or otherwise exercise control over the management of the entity. Where there is no beneficial owner entitled to 25% or more, the natural person(s) who hold the position of director(s) of the entity must be identified.

NOTE Where the beneficial owner is a corporate entity, the Administrator requires details of ultimate beneficial owners (this can be demonstrated through an organisation chart signed by an authorised signatory or shareholder register or other company documentation etc. reflecting the direct and/or indirect ownership and control structure).

6. Verification of identity of the company from:
 - Extract from the relevant company registry providing details of the company; and/or
 - Copy of the Certificate of Incorporation or equivalent of the company; and/or
 - Copy of the Memorandum and Articles of Association or equivalent of the company; and/or
 - Copy of the latest audited financial statements of the company.

Where the applicant is domiciled in a high risk jurisdiction, the following is also required:

7. Identify and verify the identity of any beneficial owner beneficially entitled to more than 10% (directly or indirectly) of the share capital, profit or voting rights or otherwise exercise control over the management of the company.
8. Confirmation as to whether the company is investing on its own behalf or on behalf of underlying investors and (as applicable) identify and verify the identity of any beneficial owner entitled to more than 10% of the investment.

Where the applicant is domiciled in a high risk jurisdiction, documentation should be certified as true copies of the original.

NOMINEE COMPANY

Where the applicant is a Regulated Nominee Company in the EEA or a jurisdiction that has AML/CFT regulations that are considered no less robust than those in force in the EEA, please provide the following:

1. Proof of regulation.
2. A letter of assurance from the regulated nominee company.
3. Identify any beneficial owner entitled to 25% or more (directly or indirectly) of the share capital, profit or voting rights or otherwise exercise control over the management of the parent entity. Where there is no beneficial owner entitled to 25% or more, then the natural person(s) who hold the position of director(s) of the entity (investor) must be identified (along with address, date of birth and nationality).
4. Confirmation as to whether the company is investing on its own behalf or on behalf of underlying investors and (as applicable), identify and verify any beneficial owner entitled to 25% or more of the investment through the nominee company.

NOTE Where the beneficial owner of the investment or the company is deemed high risk per risk rating requirements of the Administrator, the identity should be verified in line with its legal form.

Where the applicant is 1) not directly regulated and 2) a wholly owned subsidiary of a regulated parent entity (in the EEA or a jurisdiction that has AML/CFT regulations that are considered no less robust than those in force in the EEA), please provide the following:

1. Letter of Assurance from the Regulated Credit or Financial Institution (Parent Company).
2. Identify any beneficial owner entitled to 25% or more (directly or indirectly) of the share capital, profit or voting rights or otherwise exercise control over the management of the entity. Where there is no beneficial owner entitled to 25% or more, then the natural person(s) who hold the position of director(s) of the entity (investor) must be identified (along with address, date of birth and nationality).
3. Confirmation as to whether the company is investing on its own behalf or on behalf of underlying investors and (as applicable), identify and verify any beneficial owner entitled to 25% or more of the investment through the nominee company.

NOTE Where the beneficial owner of the investment or the company is deemed high risk per risk rating requirements of the Administrator, the identity should be verified in line with its legal form.

Alternatively, where an acceptable AML letter of assurance can't be provided by the regulated parent company with respect to the nominee company, please provide the following:

1. Full name of the nominee company.
2. Registered number of the nominee company.
3. Registered office address & principal business address of the nominee company.
4. Details of directors of the company and verify the identity of two directors, or where applicable, one director and one authorised signatory.
5. Proof of regulation for the Regulated Parent Company.
6. Identify and verify any beneficial owner beneficially entitled to 25% or more (directly or indirectly) of the share capital, profit or voting rights or otherwise exercise control over the management of the entity. Where there is no beneficial owner entitled to 25% or more, the natural person(s) who hold the position of director(s) of the entity (investor) must be identified.

NOTE Where the nominee company is not a subsidiary of a regulated parent company, verify any beneficial owner entitled to 25% or more (directly or indirectly) of the share capital, profit or voting rights or otherwise exercise control over the management of the entity.

7. Verification of identity of the company from:
 - Extract from the relevant company registry providing details of the nominee company; and/or
 - Copy of the Certificate of Incorporation or equivalent of the nominee company; and/or
 - Copy of the Memorandum and Articles of Association or equivalent of the nominee company; and/or
 - Copy of the latest audited financial statements of the nominee company.

Where the applicant is domiciled in a high risk jurisdiction, the following is also required:

8. Identify and verify the identity of any beneficial owner beneficially entitled to more than 10% (directly or indirectly) of the share capital, profit or voting rights or otherwise exercise control over the management of the company.
9. Confirmation as to whether the company is investing on its own behalf or on behalf of underlying investors and (as applicable) identify and verify the identity of any beneficial owner entitled to more than 10% of the investment.

Where the applicant is domiciled in a high risk jurisdiction, documentation should be certified as true copies of the original.

LISTED COMPANY

Where the applicant is a Listed Company on a Regulated Market (e.g. a regulated market that subjects companies, whose securities are admitted to trading, to disclosure obligations which are consistent with the Legislation of the European Communities), please provide the following:

1. Please provide proof of listing on a Regulated Market.

Where the applicant is not a Listed Company on an above referenced Regulated Market, please provide the following:

1. Proof of listing of the company.
2. Full name of the company.
3. Registered number of the company.
4. Registered office address & principal business address of the company.
5. Details of directors of the company and verify the identity of two directors, or where applicable, one director and one authorised signatory.
6. Identify and verify any beneficial owner beneficially entitled to 25% or more (directly or indirectly) of the share capital, profit or voting rights or otherwise exercise control over the management of the entity. Where there is no beneficial owner entitled to 25% or more, the natural person(s) who hold the position of director(s) of the entity must be identified.

NOTE Where the beneficial owner is a corporate entity, the Administrator requires details of ultimate beneficial owners (this can be demonstrated through an organisation chart signed by an authorised signatory or shareholder register or other company documentation etc. reflecting the ownership and control structure).

7. Confirmation as to whether the company is investing on its own behalf or on behalf of underlying investors and (as applicable) identify any beneficial owner entitled to 25% or more of the investment.

NOTE Where the beneficial owner of the investment or the company is deemed high risk per the risk rating requirements of the Administrator, the identity should be verified in line with its legal form.

8. Verification of identity of the company from:
 - Extract from the relevant company registry providing details of the company; and/or

- Copy of the Certificate of Incorporation or equivalent of the company; and/or
- Copy of the Memorandum and Articles of Association or equivalent of the company; and/or
- Copy of the latest audited financial statements of the company.

Where the applicant is domiciled in a high risk jurisdiction, the following is also required:

9. Identify and verify the identity of any beneficial owner beneficially entitled to more than 10% (directly or indirectly) of the share capital, profit or voting rights or otherwise exercise control over the management of the entity.
10. Confirmation as to whether the company is investing on its own behalf or on behalf of underlying investors and (as applicable) identify and verify the identity of any beneficial owner entitled to more than 10% of the investment.

Where the applicant is domiciled in a high risk jurisdiction, documentation should be certified as true copies of the original.

SUBSIDIARY OF A LISTED COMPANY

Where the applicant is a Subsidiary of a Listed Company on a Regulated Market (e.g. a regulated market that subjects companies, whose securities are admitted to trading, to disclosure obligations which are consistent with the Legislation of the European Communities), please provide the following:

1. Proof of listing for the listed parent company on a Regulated Market (e.g. a regulated market that subjects companies, whose securities are admitted to trading, to disclosure obligations which are consistent with the Legislation of the European Communities).
2. Evidence of ownership by the listed company.
3. Identify the beneficial owner(s) including the natural person(s) who hold the position of director(s) of the entity; investor(s) must be identified (along with address, date of birth and nationality).

Where the parent company is not a Listed Company on an above referenced Regulated Market, please provide the following:

1. Full name of the subsidiary company.
2. Registered number of the subsidiary company.
3. Registered office address & principal business address of the subsidiary company.
4. Details of directors of the company and verify the identity of two directors, or where applicable, one director and one authorised signatory.
5. Identify and verify any beneficial owner beneficially entitled to 25% or more (directly or indirectly) of the share capital, profit or voting rights or otherwise exercise control over the management of the entity. Where there is no beneficial owner entitled to 25% or more, the natural person(s) who hold the position of director(s) of the entity must be identified.

NOTE Where the beneficial owner is a corporate entity, the Administrator requires details of ultimate beneficial owners (this can be demonstrated through an organisation chart signed by an authorised signatory or shareholder register or other company documentation etc. reflecting the ownership and control structure).

6. Confirmation as to whether the company is investing on its own behalf or on behalf of underlying investors and (as applicable) identify any beneficial owner entitled to 25% or more of the investment.

NOTE Where the beneficial owner of the investment or the subsidiary company is deemed high risk per the risk rating requirements of the Administrator, the identity should be verified in line with its legal form.

7. Verification of identity of the company from:
 - Extract from the relevant company registry providing details of the company; and/or
 - Copy of the Certificate of Incorporation or equivalent of the company; and/or

- Copy of the Memorandum and Articles of Association or equivalent of the company; and/or
- Copy of the latest audited financial statements of the company.

Where the applicant is domiciled in a high risk jurisdiction, the following is also required:

8. Identify and verify the identity of any beneficial owner beneficially entitled to more than 10% (directly or indirectly) of the share capital, profit or voting rights or otherwise exercise control over the management of the company.
9. Confirmation as to whether the company is investing on its own behalf or on behalf of underlying investors and (as applicable) identify and verify the identity of any beneficial owner entitled to more than 10% of the investment.

Where the applicant is domiciled in a high risk jurisdiction, documentation should be certified as true copies of the original.

GOVERNMENT/PUBLIC BODY

Where the applicant is a Government/Public Body in Ireland, please provide the following:

1. Please provide background information sourced from a reliable source (e.g. copy of the legal instrument establishing the government body/public authority and listing the names of key officials).

Where the applicant is not a Government Body or Public Authority in Ireland, please provide the following:

1. Full name of the government body or public authority.
2. Nature and status of the government body or public authority.
3. Registered office address.
4. Name of the home state of the public authority and details of the nature of its relationship with the public authority.
5. Evidence of ownership of the public authority.
6. Names of the main officials of the government body or public authority and verify the identity of two officials or, where applicable, one official and one authorised signatory.
7. Appropriate background information on the government body or public authority.

Where the applicant is domiciled in a high risk jurisdiction, the following is also required:

8. Identify and verify the identity of at least two directors or, where applicable, one director and one authorised signatory.
9. Certified copy of the audited financial statements of the government body or public authority.

Where the applicant is domiciled in a high risk jurisdiction, documentation should be certified as true copies of the original.

PENSION SCHEME

Where the applicant is an Employee/Superannuation or similar scheme in the EEA or a jurisdiction that has AML/CFT regulations that are considered no less robust than those in force in the EEA, please provide the following confirmations from the trustees of the scheme:

1. Confirmation that the Employee/Superannuation (or similar scheme) provides for retirement benefits to employees.
2. Confirmation that contributions of the Employee/Superannuation scheme are made by deduction from wages.
3. Confirmation that the rules of the Employee/Superannuation scheme do not permit a member's interest under the scheme to be re-assigned.

NOTE The above can be confirmed through constitutional documentation of the scheme or through receipt

of written confirmation from the trustees of the scheme.

Where the applicant is not an Employee/Superannuation or similar scheme in one of the above referenced EEA or equivalent jurisdictions, please provide the following:

1. Full name of the pension scheme.
2. Registered office address of the pension scheme.
3. One of the following:
 - Confirmation of registration of the pension scheme (as appropriate) from the relevant tax authorities or pensions board.

Or

- Identity of the controllers (trustees/directors/governors/board members or equivalent) of the scheme; and
- Constitutional/Formation Document (e.g. Trust Deed); and
- Appropriate background information.

Where the applicant is domiciled in a high risk jurisdiction, the following is also required:

4. Identify and verify the identity of the scheme administrator and entity carrying out AML/CTF controls on scheme investors (per legal form); or
5. Written confirmation from the entity carrying out AML/CTF controls similar to that requested from a regulated third party undertaking due diligence.

Where the applicant is domiciled in a high risk jurisdiction, documentation should be certified as true copies of the original.

COLLECTIVE INVESTMENT SCHEMES

Where the applicant is a Collective Investment Scheme ('CIS') regulated as a designated person or listed on a regulated financial market in the EEA or a jurisdiction that has AML/CFT regulations that are considered no less robust than those in force in the EEA, please provide the following:

1. Please provide proof of regulation/listing.

Where the CIS is not either listed on a regulated financial market (as above) or regulated as a designated person in one of the above referenced EEA or equivalent jurisdictions, please provide the following:

1. Full name of the CIS.
2. Registered address of the CIS.
3. Prospectus or equivalent.
4. Name and address of the scheme promoter.
5. Name and address of the scheme administrator.
6. Name and address of the entity carrying out due diligence on the investors in the CIS and confirmation that this entity is regulated for AML purposes.
7. Names of any investors (beneficial owners) in the CIS who own 25% or more (directly or indirectly) of the share capital. Where the CIS is a corporate body and there is no beneficial owner entitled to 25% or more, the natural person(s) who hold the position of director(s) of the entity (investor) must be identified.

Where the applicant is domiciled in a high risk jurisdiction, the following is also required:

8. Identify and verify the identity of any beneficial owner beneficially entitled to more than 10% (directly or indirectly) of the share capital, profit or voting rights or otherwise exercise control over the management of the

entity; or

9. Written confirmation from the entity carrying out AML/CTF controls similar to that requested from a regulated third party undertaking due diligence.

Where the applicant is domiciled in a high risk jurisdiction, documentation should be certified as true copies of the original.

INDIVIDUALS (INCLUDING JOINT ACCOUNT HOLDERS)

Where the applicant is an Individual, please provide the following documentation:

1. Photographic identity document.
2. Two forms of proof of address.

Where the applicant is domiciled in a high risk jurisdiction, the following is also required:

3. Confirmation of Source of Funds.
4. Confirmation of Source of Wealth.

In the case of joint account holders, please provide documents in respect of all holders.

Documentation should be certified as true copies of the original.

PRIVATE AND UNLISTED COMPANY

Where the applicant is a Private Company/Company not listed on a regulated financial market, please provide the following:

1. Full name of the company.
2. Registered number of the company.
3. Registered office address & principal business address of the company.
4. Details of the directors of the company and verify the identity of at least two directors or, where applicable, one director and one authorised signatory.
5. Identify and verify any beneficial owner beneficially entitled to 25% or more (directly or indirectly) of the share capital, profit or voting rights or otherwise exercise control over the management of the entity. Where there is no beneficial owner entitled to 25% or more, the natural person(s) who hold the position of director(s) of the entity (investor) must be identified.

NOTE Where the beneficial owner is a corporate entity, the Administrator requires details of ultimate beneficial owners (this can be demonstrated through an organisation chart signed by an authorised signatory or shareholder register or other company documentation etc. reflecting the ownership and control structure).

NOTE Where the beneficial owner is deemed high risk per the risk rating requirements of the Administrator, the identity should be verified in line with its legal form.

6. Verification of identity of the company from:
 - Extract from the relevant company registry providing details of the Company; and/or
 - Copy of the certificate of incorporation or equivalent of the Company; and/or
 - Copy of the Memorandum and Articles of Association or equivalent of the Company; and/or
 - Copy of the latest audited financial statements of the Company.

Where the applicant is domiciled in a high risk jurisdiction, the following is also required:

7. Identify and verify the identity of any beneficial owner beneficially entitled to more than 10% (directly or indirectly) of the share capital, profit or voting rights or otherwise exercise control over the management of the entity.

Where the applicant is domiciled in a high risk jurisdiction, documentation should be certified as true copies of the original.

LIMITED LIABILITY COMPANY

Where the applicant is a Limited Liability Company (LLC), please provide the following:

1. Full name of the LLC.
2. Registered office address & principal business address of the LLC.
3. Identify all managing members.
4. Verify the identity of at least two managing members or, where applicable, one managing member and one authorised signatory.
5. Identify and verify any beneficial owner beneficially entitled to 25% or more (directly or indirectly) of the share capital, profit or voting rights or otherwise exercise control over the management of the entity. Where there is no beneficial owner entitled to 25% or more, the natural person(s) who hold the position of director(s) of the entity must be identified.

NOTE Where the beneficial owner is a corporate entity, the Administrator requires details of ultimate beneficial owners (this can be demonstrated through an organisation chart signed by an authorised signatory or shareholder register or other company documentation etc.).

NOTE Where the beneficial owner is deemed high risk per the risk rating requirements of the Administrator, the identity should be verified in line with its legal form.

6. Constitutional document for the LLC (e.g. Certificate of Formation).
7. Operating agreement for the LLC.

Where the applicant is domiciled in a high risk jurisdiction, the following is also required:

8. Identify and verify the identity of any beneficial owner beneficially entitled to more than 10% (directly or indirectly) of the share capital, profit or voting rights or otherwise exercise control over the management of the entity.

Where the applicant is domiciled in a high risk jurisdiction, documentation should be certified as true copies of the original.

PARTNERSHIP

Where the applicant is a Partnership, please provide the following:

1. Full name of the partnership.
2. Registered office address & principal business address of the partnership.
3. Identify all partners.
4. Verify the identity of all partners who own 25% or more (directly or indirectly) of the partnership capital, profit or voting rights or otherwise exercise control over the management of the Partnership Fund.
5. Verify the identity of the general partner and a second partner (or in the absence of same an authorised signatory).

NOTE Where the beneficial owner is a corporate entity, the Administrator requires details of ultimate beneficial owners (this can be demonstrated through an organisation chart signed by an authorised signatory or shareholder register or other company documentation etc. reflecting the direct and/or indirect ownership and control structure).

6. Constitutional document for the Partnership (e.g. Partnership Agreement).

Where the applicant is not a Partnership Fund or located in one of the deemed high risk jurisdictions, the following is also required:

7. Identify and verify the identity of at least two partners or, where applicable, one partner and one authorised signatory.
8. Identify and verify the identity of any beneficial owner beneficially entitled to more than 10% (directly or indirectly) of the share capital, profit or voting rights or otherwise exercise control over the management of the partnership.

Where the applicant not a Partnership Fund or is domiciled in a high risk jurisdiction, documentation should be certified as true copies of the original.

TRUST

Where the applicants are Regulated Trustees investing on behalf of a Trust or similar entity, please provide the following (only applicable for Trustees not located in a high risk jurisdiction):

1. Full name of trust.
2. Country of establishment of trust.
3. Legal form of the trust.
4. Trust deed or equivalent or confirmation of the trust etc. by reference to an appropriate register.
5. Confirmation of the nature/purpose of the trust.
6. Identify all trustees and verify the identity of at least two trustees where applicable.
7. Identify the settlor and verify the settlor.
8. Identify and verify the protector.
9. Identify all beneficiaries or the class of beneficiary beneficially entitled to trust's assets or the beneficial interest (Note: Verification of the beneficiaries may be required by the administrator to the extent warranted by the risk).

NOTE Where the beneficial owner is a corporate entity, the Administrator requires details of ultimate beneficial owners (this can be demonstrated through an organisation chart signed by an authorised signatory or shareholder register or other company documentation etc. reflecting the direct and/ or indirect ownership and control structure).

Where the applicants are not Regulated Trustees investing on behalf of a Trust, or where the applicant is domiciled in A high risk jurisdiction, the following is also required:

1. Verify the identity of the beneficiaries beneficially entitled to trust's assets or beneficial interest.
2. Confirmation as to how the settlor was in a position to make the investment.
3. Verify the identity of all trustees (i.e. in accordance with their legal structure or for each individual).

Where the applicants are not Regulated Trustees investing on behalf of a Trust or where the applicant is domiciled in a high risk jurisdiction, documentation should be certified as true copies of the original.

FOUNDATION

Where the applicants are Regulated Trustees investing on behalf of a Foundation, please provide the following (only applicable for Trustees not located in a high risk jurisdiction):

1. Full name of the foundation.
2. Registered address of the foundation.
3. Confirmation of the legal form of the foundation.
4. Constitutional documents equivalent OR confirmation of the foundation by reference to an appropriate register.
5. Identify and verify the founder, and the nature/purpose of the foundation.
6. Identify all trustees, and verify the identity of two trustees, where applicable. Identify any beneficiary beneficially entitled to 25% or more of the foundation's assets.

7. Note: Where there is a named individual beneficiary with a 25% interest or more, the beneficiary will need to be verified.

NOTE Where there is a named individual beneficiary with a 25% interest or more, the beneficiary will need to be verified.

NOTE Where the beneficial owner is a corporate entity, the Administrator requires details of ultimate beneficial owners (this can be demonstrated through an organisation chart signed by an authorised signatory or shareholder register or other company documentation etc. reflecting the direct and/ or indirect ownership and control structure).

Where the applicants are not Regulated Trustees investing on behalf of a Foundation or similar entity, or where the applicant is domiciled in a high risk jurisdiction, the following is also required:

8. Identify and verify the identity of the founder.
9. Confirmation of the funding of the foundation.
10. Identify and verify the identity of the beneficiaries who hold more than 10% of the beneficial interest.

Where the applicant is domiciled in a high risk jurisdiction, documentation should be certified as true copies of the original.

CHARITY

Where the applicant is a registered Charity, please provide the following:

1. Full name of the charity.
2. Nature/purpose of the charity
3. Nature and source of funding of the charity
4. Registered office address & principal business address of the charity.
5. Names of all trustees/directors (or equivalent) of the charity.
6. Identify and verify the identity of the company secretary or equivalent or, where applicable, verify the identity of one of the trustees.
7. (If a charitable trust), details of the settlor of the charitable trust.
8. Names or classes of persons who stand to benefit from the objects of the charity.
9. Copy of relevant charities register or confirmation of the registration of the charity with the relevant tax authorities (e.g. UK Charities Commission/HMRC).

NOTE Where there is a named individual beneficiary with a 25% interest or more, the beneficiary will need to be verified.

Where the applicant is not a registered Charity or where the applicant is domiciled in a high risk jurisdiction, the following is also required:

10. Certified copies of the audited financial statements.
11. Identify and verify the identity of at least two trustees/directors/governors/board members or, where applicable, one trustee/director/governor/ board member/company secretary and one authorised signatory of the charity.
12. Certified constitutional/formation document of the charity.
13. Identify and verify the identity of the beneficiaries (where ascertainable) of the charity.
14. Identify and verify the settlor, where applicable.

NOTE Where the applicant is not a registered Charity, documentation provided should be certified as true copies of the original documentation.

CLUB OR SOCIETY

Where the applicant is a Club or Society, please provide the following:

1. Full name of the club/society.
2. Registered office address & principal business address of the club/society.
3. Confirmation of the legal status of the club/society.
4. Nature/Purpose including the nature of funding of the club/society.
5. Constitutional/Formation Document (e.g. Trust Deed) and/or copy of audited financial statements (if available) or confirmation of a relevant club/society register.
6. List of officers of the club/society.
7. Verify the identity of two officers of the club/society authorised to operation on behalf of the club/society or, where applicable, one officer and one authorised signatory.
8. Names or classes of persons who stand to benefit from the club or society.

NOTE Where there is a named individual beneficiary with a 25% interest or more, the beneficiary will need to be verified.

Where the applicant is domiciled in a high risk jurisdiction, the following is also required:

9. Audited financial statements for the club/society.
10. Constitutional/Formation document of the club/society
11. Identify and verify the identity of all persons who own or control over 10% of the entity's share capital, profit or voting rights.
12. Confirmation of the funding of the club or society.

Where the applicant is domiciled in a high risk jurisdiction, documentation should be certified as true copies of the original.

SCHOOL, COLLEGE OR UNIVERSITY

Where the applicant is a School, College or University, please provide the following:

1. Full name of the School, College or University.
2. Registered office address & principal business address of the School, College or University.

3. Confirmation of a relevant School, College or University register or appropriate background information.
4. Names of main officials of the School.
5. Identify and verify the identity of at least two officials or, where applicable, one official and one authorised signatory.
6. Details of ownership of the entity. In particular, confirmation if in public ownership or independent. Where independently owned, identify and verify all persons who own or control 25% or more of the entity's share capital, profit or voting rights.
7. Identify and verify the settlor, where applicable.

Where the applicant is domiciled in a high risk jurisdiction, the following is also required:

8. Identify and verify the identity of all persons who own or control over 10% of the entity's share capital, profit or voting rights.

Where the applicant is domiciled in a high risk jurisdiction, documentation should be certified as true copies of the original.

CHURCH

Where the applicant is a Church, please provide the following:

1. Full name of the church.
2. Registered office address & principal business address of the church.
3. Confirmation of the nature/purpose of the church with details of how the church is funded.
4. Confirmation of a relevant church register or a copy of the revenue approval for the church.
5. Names of all trustees/directors (or equivalent) of the church.
6. Identify and verify the identity of the church secretary or equivalent or, where applicable, verify the identity of one of the trustees.
7. Names or classes of persons who stand to benefit from the objects of the church (where ascertainable).
8. Identify and verify the settlor where applicable.
9. Where there is a named individual beneficiary with a 25% interest or more, the beneficiary will need to be verified.

Where the applicant is domiciled in a high risk jurisdiction, the following is also required:

10. Audited financial statements for the church.
11. Constitutional/Formation document of the church.
12. Identify and verify the identity of either two trustees/directors/governors/board members or one trustee/director/governor/board member and one authorised signatory of the church.
13. Identify and verify the beneficiaries (where ascertainable).

Where the applicant is domiciled in a high risk jurisdiction, documentation should be certified as true copies of the original.

TRADE UNION

Where the applicant is a Trade Union, please provide the following:

1. Full name of the trade union.
2. Registered address of the trade union.

3. Establish whether the trade union is registered or otherwise listed by a controlling body for regulatory or oversight purposes (e.g. Congress of Trade Unions).
4. Consider the legal form of the trade union, its stated purpose, aims and objectives using information from documents supplied for the trade union and through internet searches.
5. Deed or equivalent establishing the existence of the trade union (for example its constitution, bye-laws, rules).
6. Identify all persons and their functions who are empowered to make the investment on behalf of the trade union (president, secretary, treasurer and other senior committee members).

Where the applicant is domiciled in a high risk jurisdiction, the following is also required:

7. Identify and verify the identity of at least two senior committee members of the trade union.

Where the applicant is domiciled in a high risk jurisdiction, documentation should be certified as true copies of the original.